

# Speedup Ruby Interpreter

Koichi Sasada

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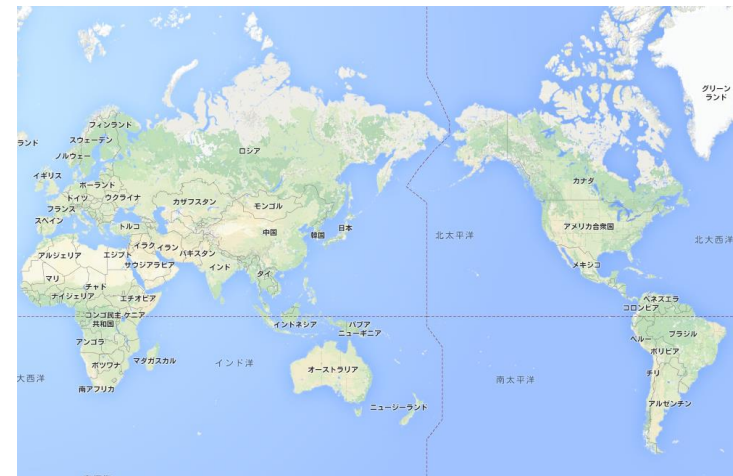


# Today's talk

- Ruby 2.1 and Ruby 2.2
- How to speed up Ruby interpreter?
  - Evaluator
  - Threading
  - Object management / Garbage collection

# Koichi Sasada as a Japanese

- Koichi Sasada a.k.a. ko1
- From Japan
- 笹田 (family name) 耕一 (given name) in Kanji character
  - “Ichi” means “1” or first
  - This naming rule represents I’m the first son of my parents
  - Ko”ichi” → ko1



# Koichi Sasada as a Programmer

- CRuby/MRI committer
  - Virtual machine (YARV) from Ruby 1.9
  - YARV development since 2004/1/1
  - Recently, improving GC performance
- Matz team at Heroku, Inc.
  - Full-time CRuby developer
  - Working in Japan
- Director of Ruby Association





# Ruby Association

The Ruby Association was founded to further development of the programming language Ruby.

The goals of the Ruby Association are to improve relationship between Ruby-related projects, communities and businesses, and to address issues connected with using Ruby in an enterprise environment.

Quoted from <http://www.ruby.or.jp/en/>



# Ruby Association

- Foundation to encourage Ruby dev. and communities
- Activities
  - Ruby programmer certification program
    - <http://www.ruby.or.jp/en/certification/examination/> in English
  - Grant project. We have selected **3 proposals** in 2013
  - Ruby Prize
    - To recognize the efforts of “New members” to the Ruby community
    - <http://www.ruby.or.jp/en/news/20140627.html>
  - Maintenance of Ruby (Cruby) interpreter
    - Now, it is for Ruby 2.0.0
  - Events, especially RubyWorld Conference
    - <http://www.rubyworld-conf.org/>
  - **Donation** for Ruby developments and communities

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## Ruby Association Certified Ruby Programmer

The Ruby Association Certified Ruby Programmer examinations are intended for engineers who design, develop, and/or operate Ruby-based systems, consultants who make Ruby-based system proposals, and instructors who teach Ruby.

Those who are certified are recognized for their skills as Ruby engineers and as having high levels of Ruby-based system development capabilities.

Those who pass the examination are certified by the Ruby Association as a Ruby Association Certified Ruby Programmer.

[➔ Registration of Ruby Association Certified Programmer \(Prometric Site\)](#)

### Overview and purposes of certification examinations

The overall purpose of the certification program is to:

- 1. Set a standard by which goals can be set for studying and teaching Ruby**
- 2. Set a standard by which Ruby engineers can measure and prove their skill level**
- 3. Set a decision-making standard for companies and other entities seeking to hire Ruby engineers or outsource development projects**

The certification examinations are linked to the different sets of qualifications required for certification as a Ruby Association Certified Ruby Programmer, and there is a certification examination that corresponds to each set of qualifications. The Ruby Association will issue a certificate to those who pass the examinations.

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News

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Certification

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## The Ruby Prize Award 2014 now accepting nominations

It has been decided to hold the Ruby Prize2014, to recognize the efforts of New members to the Ruby community.

This "Ruby Prize" will hold meetings by the executive committee comprised of three parties, which is Ruby Association, Nihon Ruby no Kai and Matsue city.

Ruby Prize Award Winner and nominees will receive an award at the RubyWorld Conference 2014, to be held in Matsue, Shimane Prefecture November 13th & 14th

It should be noted the winner of the Ruby Prize will also be awarded sub-prize money of 1million yen!

[See last year's Ruby Prize 2013](#)

<http://www.ruby.or.jp/en/news/20131018e.html>

Ruby Prize winner Tomoyuki Chikanaga and finale nominees are celebrated at the RubyWorld conference.

Congrats!



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<http://www.rubyworld-conf.org/>

# RubyWorld Conference 2014

en ja

募集中

[ホーム](#)[お知らせ](#)[プログラム](#)[会場](#)[参加登録](#)[お問い合わせ](#)[スポンサー](#)

プログラミング言語「Ruby」は、2013年2月にその開発から20年を迎えるとともに、5年ぶりのメジャーバージョンとしてRuby2.0がリリースされ、Rubyは新たな時代へと突入し、様々な場面での利用が広がっています。

今年で6回目となるRubyWorld Conferenceを通じて、新しい普及の段階に突入しつつあるRubyが、多様な現実世界にどのように適合し、浸透していくのか、そのような普及過程と成長を考える機会を皆様に提供いたします。

[開催趣意書 >](#)

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## 開催実行委員会について

[開催趣意書](#)[顧問・役員・委員](#)[会則](#)[構成団体](#)



Heroku, Inc. <http://www.heroku.com>

**You should know about Heroku!!**

A screenshot of the Heroku website homepage. The background is a dark purple. At the top left is the Heroku logo. To its right is a navigation menu with links for 'Features', 'Pricing', 'Add-ons', 'Blog', 'Documentation', 'Support', and 'Contact'. Further right are 'Log in or' and a 'Sign up' button. The main content area features the text 'Build, run, and scale apps.' in a large, white, sans-serif font, followed by 'Cloud computing designed and built for developers.' in a smaller font. Below this is a large, white, rounded rectangular button with the text 'Sign up for free'. Underneath the button, in a smaller font, it says 'No credit card required'.

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## Features

### Build and Run Your Apps, Your Way.

Heroku supports **Ruby**, **Node.js**, **Python**, **Java**, and **PHP** so you can use the languages you already know to build and deploy apps on Heroku. [Learn more](#) about our language support or [sign up now](#).



Check <https://www.heroku.com/features>

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- Heroku, Inc. <http://www.heroku.com>
- Heroku supports OSSs / Ruby development
  - Many talents for Ruby, and also other languages
  - Heroku employs 3 **Ruby interpreter core developers**
    - Matz
    - Nobu
    - Ko1 (me)
  - We name our group “Matz team”

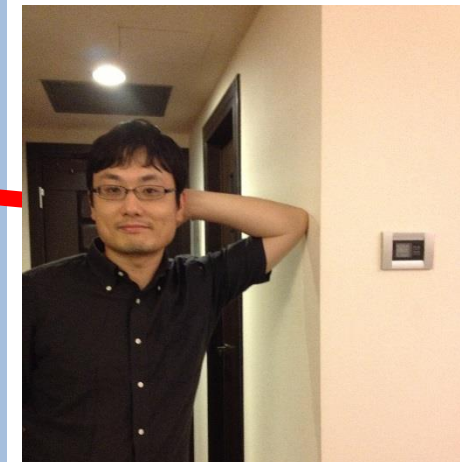
# “Matz team”



Matz @ Shimane  
Title collector



Nobu @ Tochigi  
Patch monster



ko1 @ Tokyo  
EDD developer

# Matz

## Title collector

- He has so many (job) title
  - Chairman - Ruby Association
  - Fellow - NaCl
  - Chief architect, Ruby - Heroku
  - Research institute fellow – Rakuten
  - Chairman – NPO mruby Forum
  - Senior researcher – Kadokawa Ascii Research Lab
  - Visiting professor – Shimane University
  - Honorable citizen (living) – Matsue city
  - Honorable member – Nihon Ruby no Kai
  - ...
- This margin is too narrow to contain



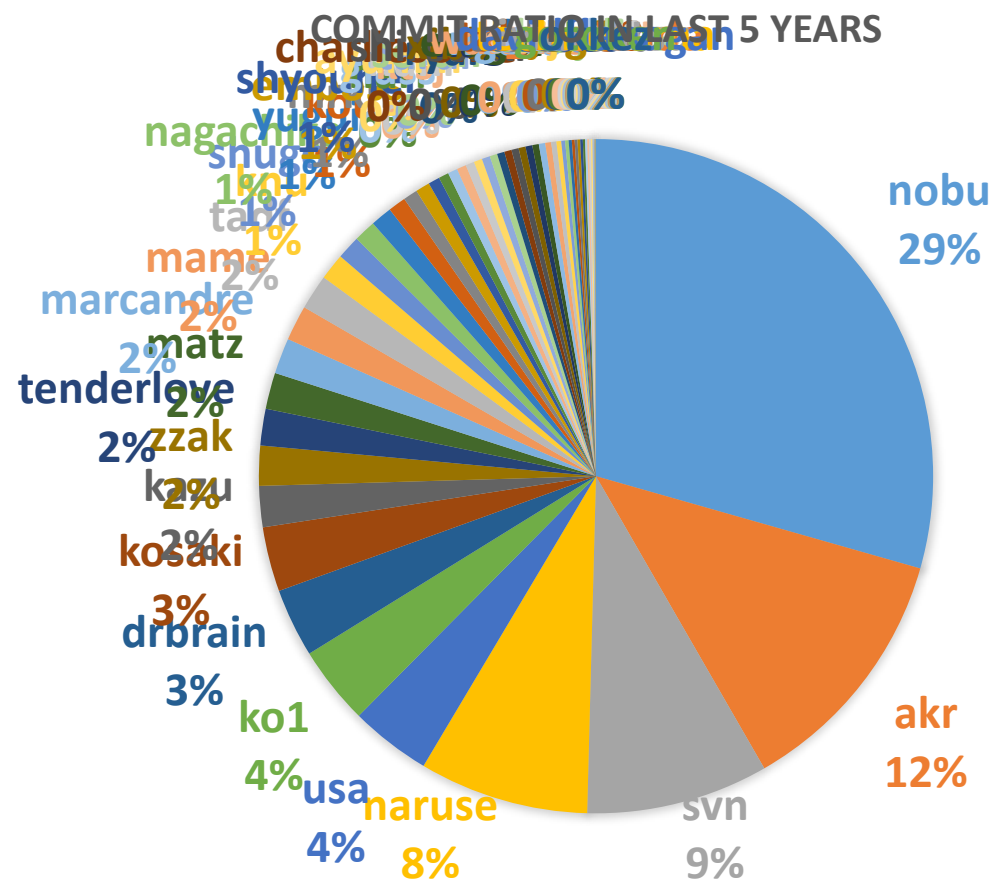
Nobu

Patch monster

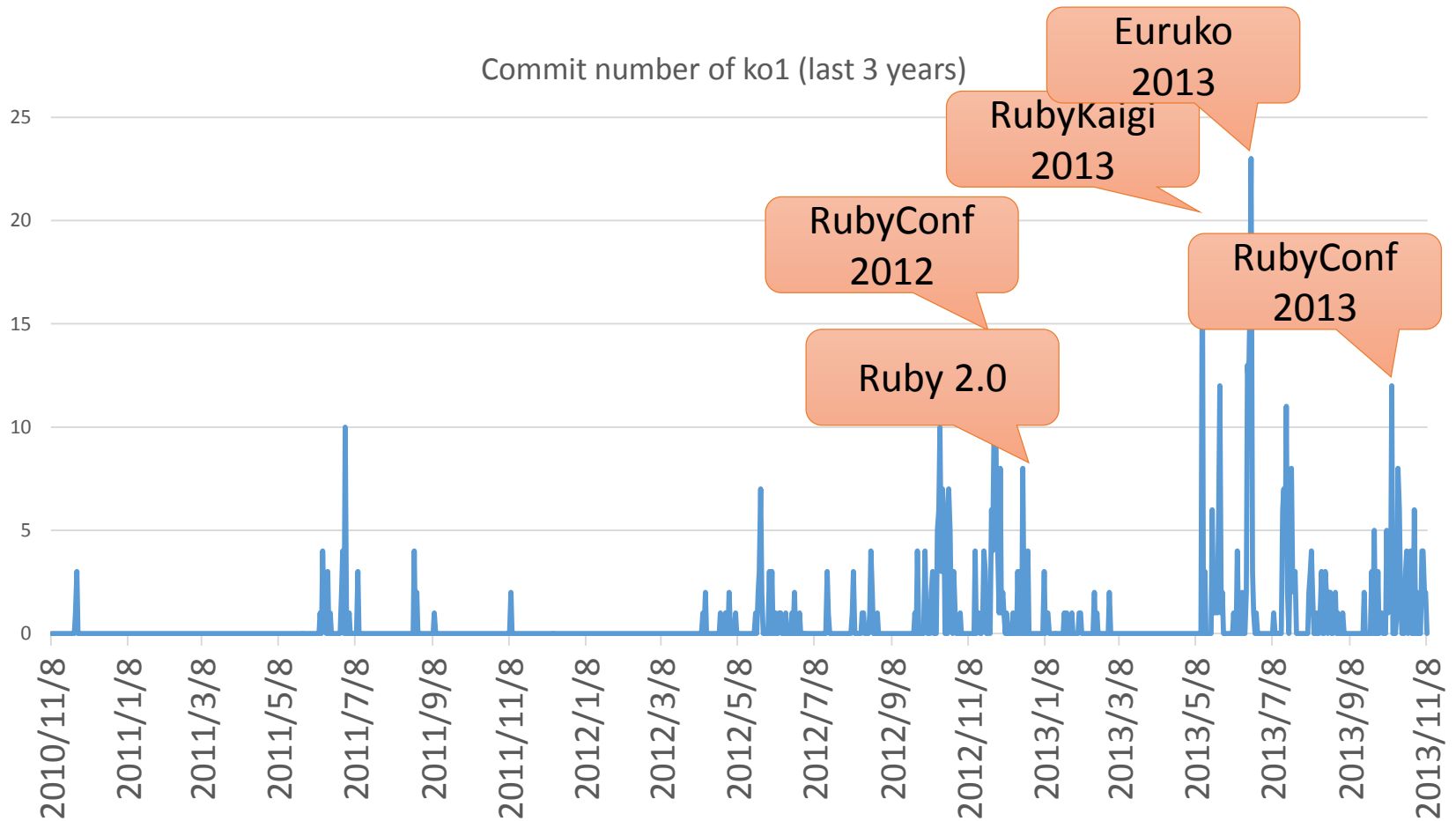
- Great patch creator



# Nobu is Great Patch Monster







“Mission of Matz team”

# Improve quality of next version of CRuby

# “Mission of Matz team”

- **Improve quality of next version of CRuby**
  - Matz decides a spec finally
  - Nobu fixed huge number of bugs
  - Ko1 improves the performance
- Next version of CRuby is “Ruby 2.2.0”

# Ruby 2.1

## Current stable



<http://www.flickr.com/photos/loginesta/5266114104>

# Ruby 2.1

a bit old Ruby

- **Ruby 2.1.0** was released at **2013/12/25**
  - New features
  - Performance improvements
- **Ruby 2.1.1** was released at 2014/02/24
  - Includes many bug fixes found after 2.1.0 release
  - Introduce a new GC tuning parameter to change generational GC behavior (introduce it later)
- **Ruby 2.1.2** was released at **2014/05/09**
  - Solves critical bugs (OpenSSL and so on)

# Ruby 2.1 the biggest change

## Version policy

- Change the versioning policy
  - Drop “patch level” in the version
  - **Major version:** Big language changes (or anniversary)
  - **Minor version:** minor language changes (or annually)
  - **Teeny version:** fixing bugs with compatibility
    - Release new teeny versions about every 3 month
    - Teeny upgrades keep compatibility

# Ruby 2.1 New syntax

- New syntaxes
  - Required keyword parameter
  - Rational number literal
  - Complex number literal
  - `def` returns symbol of method name



<http://www.flickr.com/photos/rooreynolds/4133549889>

# Ruby 2.1 Syntax

## Required keyword parameter

```
def foo(a:1, b:)
```

```
  ...
```

```
end
```

```
foo(a: 1, b: 2)      # OK
```

```
foo()                # NG
```

```
foo(a: 1)            # NG
```



# Ruby 2.1 Syntax

## Required keyword parameter

- Keyword argument (from Ruby 2.0.0)
  - `def foo(a: 1, b: 2); end`
  - ``a`` and ``b`` are optional parameters
  - OK: `foo()`; `foo(a: 1)`; `foo(a: 1, b: 2)`; `foo(b: 2)`
- Required keyword argument from 2.1
  - `def foo(a: 1, b: )`
  - ``a`` is optional, but ``b`` is required parameter
  - OK: `foo(a: 1, b: 2)`; `foo(b: 2)`
  - NG: `foo()`; `foo(a: 1)`

# Ruby 2.1 Syntax

## Rational number literals

`1/2r #=> Rational(1, 2)`

# Ruby 2.1 Syntax

## Rational number literals

- To represent  $\frac{1}{2}$ , in Ruby “Rational(1, 2)”
  - Too long!!
- Introduce “r” suffix
  - $\frac{1}{2} \rightarrow 1/2r$
- “[digits]r” represents “Rational([digits], 1)”
- $\frac{1}{2} \rightarrow 1/2r$ 
  - $1/2r$   $\#=>$   $1/\text{Rational}(2, 1)$
  - $1/\text{Rational}(2, 1)$   $\#=>$   $\text{Rational}(1/2)$

# Ruby 2.1 Syntax

## Complex number literals

$1+2i$   $\#=>$  `Complex(1, 2)`

# Ruby 2.1 Syntax

## Complex number literals

- We already have “Integer#i” method to make imaginary number like “1+2.i”
- We already introduced “r” suffix for Rational
  - No reason to prohibit “i” suffix!!
- [digits]i represents “Complex(0, [digits])”
- 1+2i #=> 1+Complex(0, 2)
- 1+Complex(0, 2) #=> Complex(1, 2)
  
- You can mix “r” and “i” suffix

# Ruby 2.1 Syntax

Return value of `def` syntax

```
def foo()
```

```
...
```

```
end
```

```
#=> :foo
```

# Ruby 2.1 Syntax

## Return value of `def` syntax

- Return value of method definition
  - Method definition syntax returns symbol of defined method name
  - ``def foo; ...; end' #=> :foo`
- Method modifier methods
  - Example:
    - `private def foo; ...; end`
    - `public static void def main(args); ...; end`

# Ruby 2.1 Runtime new features

- `String#scrub`
- `Process.clock_gettime`
- `Binding#local_variable_get/set`
- Bignum now uses GMP (if available)
- Extending ObjectSpace



# Performance improvements

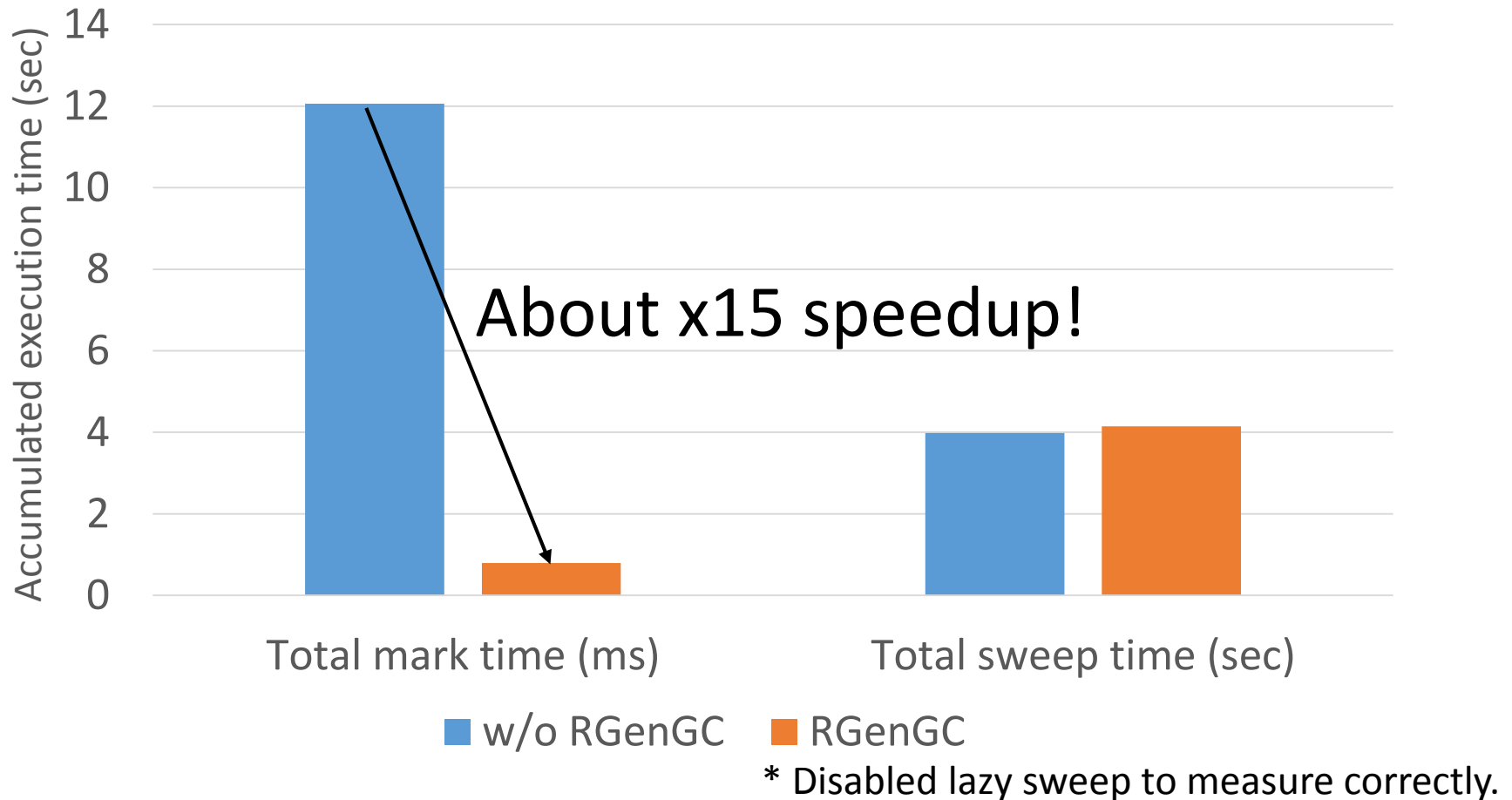
- Optimize “string literal”.freeze
- Sophisticated inline method cache
- Introducing Generational GC: RGenGC

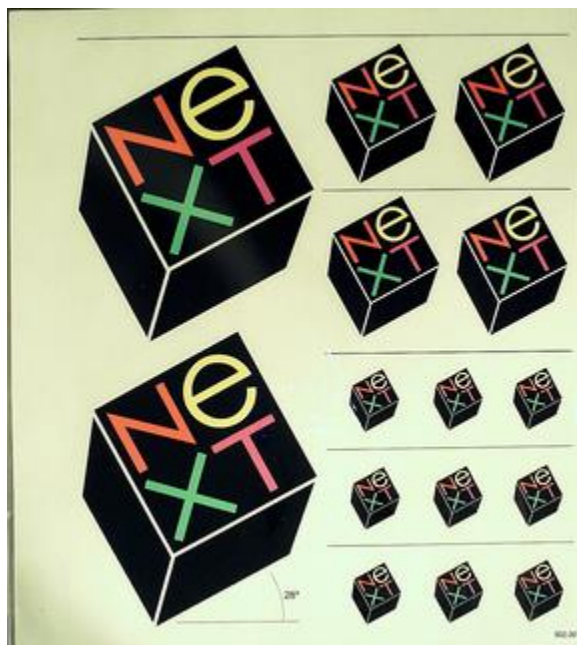
# RGenGC: Generational GC for Ruby

- RGenGC: Restricted Generational GC
  - Generational GC (minor/major GC uses M&S)
  - **Dramatically speedup for GC-bottleneck applications**
  - New generational GC algorithm allows mixing “Write-barrier protected objects” and “WB unprotected objects”  
→ **No (mostly) compatibility issue** with C-exts
- Inserting WBs gradually
  - We can concentrate WB insertion efforts for major objects and major methods
  - Now, most of objects (such as Array, Hash, String, etc.) are WB protected
    - Array, Hash, Object, String objects are very popular in Ruby
    - Array objects using **RARRAY\_PTR()** **change to WB unprotected** objects (called as Shady objects), so existing codes still works.

# RGenGC

## Performance evaluation (RDoc)





<http://www.flickr.com/photos/adafruit/8483990604>

# Ruby 2.2

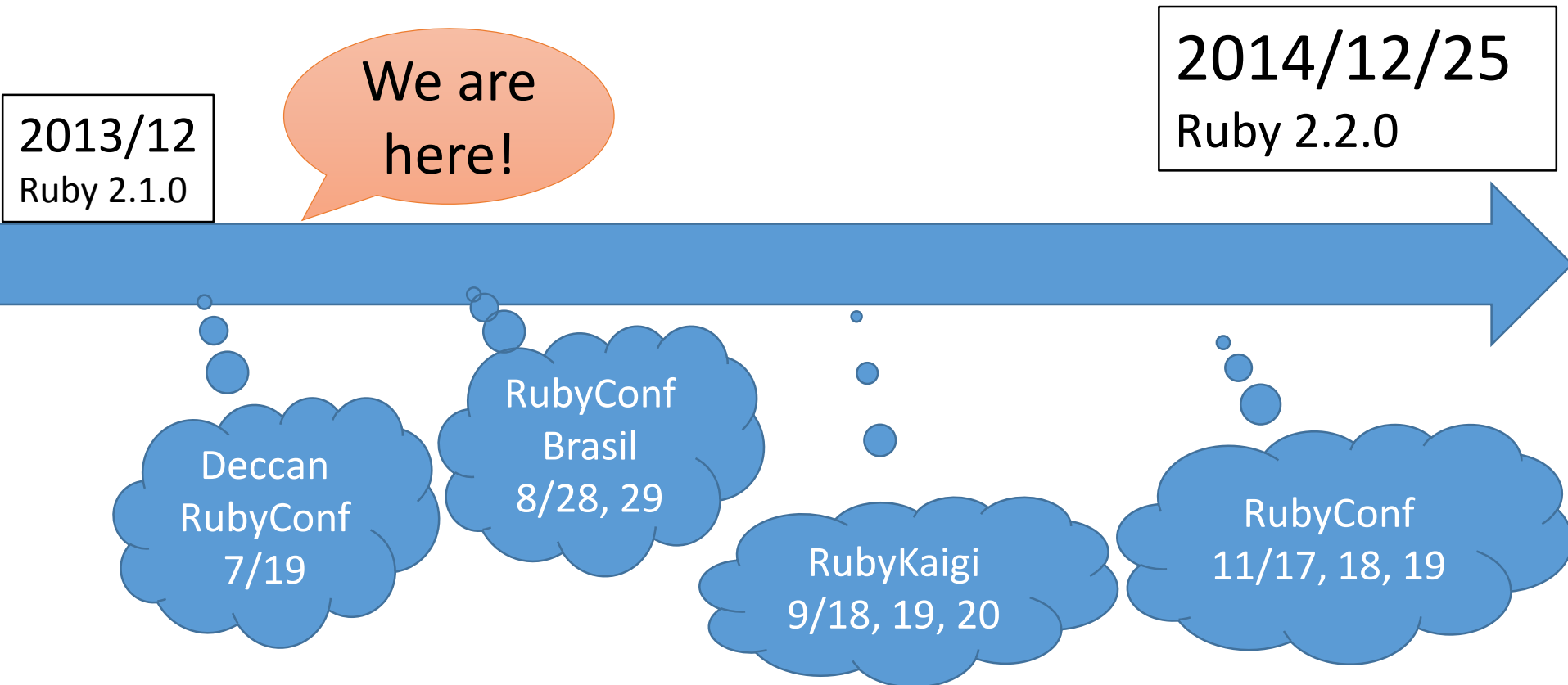
## Next version

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# Schedule of Ruby 2.2

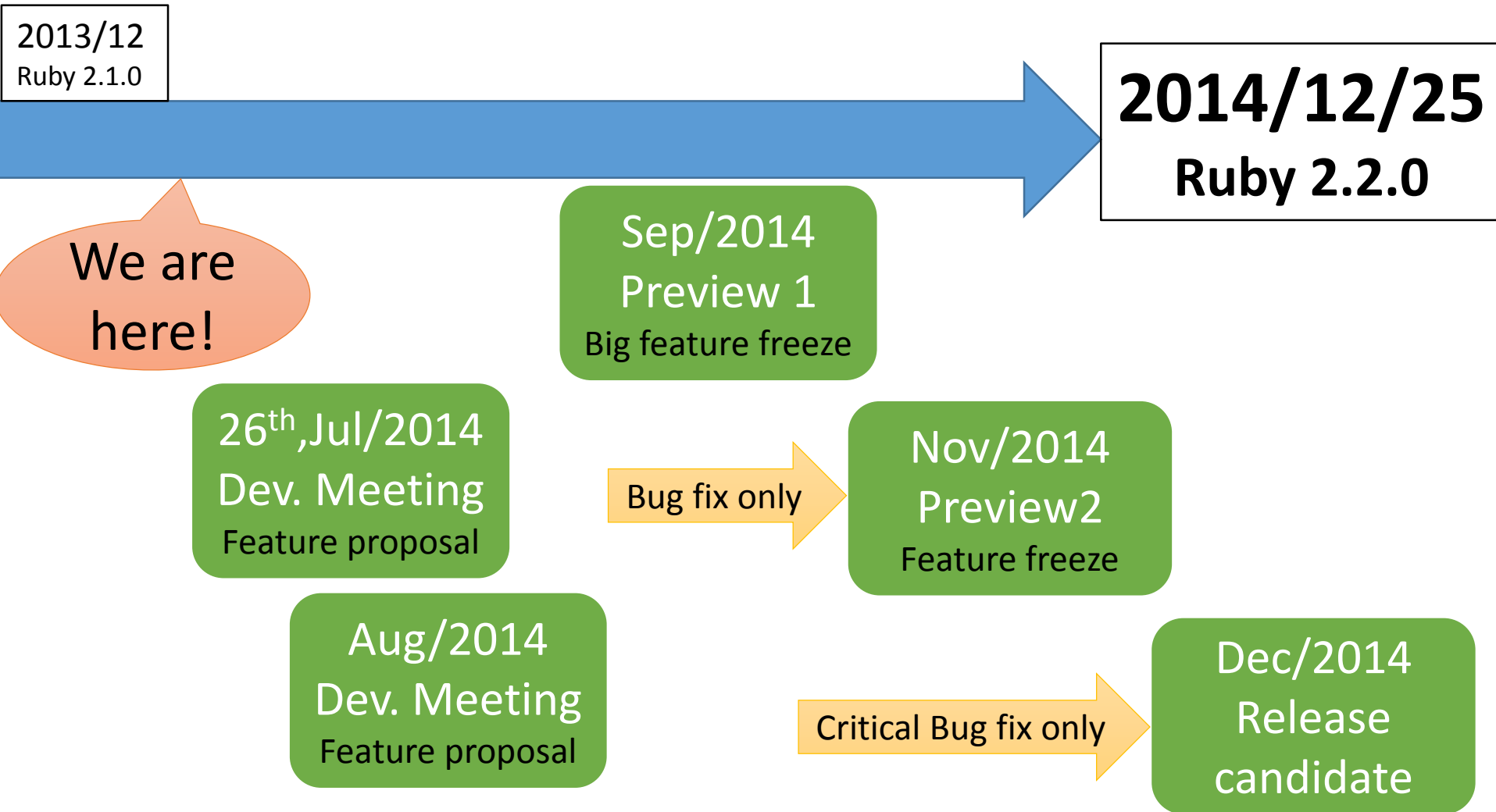
- Not published officially
- Schedule draft is available by Naruse-san
  - <https://bugs.ruby-lang.org/projects/ruby-trunk/wiki/ReleaseEngineering22>

# Ruby 2.2 schedule



**Events are important for  
EDD (Event Driven Development) Developers**

# Ruby 2.2 (rough) schedule



## 2.2 big features (planned)

- New syntax: not available now
- New method: no notable methods available now
- Libraries:
  - Minitest and test/unit will be removed (provided by bundled gem)



## 2.2 internal changes

- Internal
  - C APIs
    - Hide internal structures for Hash, Struct and so on
    - Remove obsolete APIs
  - GC
    - **Symbol GC (merged recently)**
    - **2age promotion strategy for RGenGC**
    - **Incremental GC** to reduce major GC pause time
  - VM
    - More sophisticated method cache

# Ruby 2.2 internals

## Symbol GC

```
1_000_000.times{|i| i.to_s.to_sym}  
p Symbol.all_symbols.size
```

```
# Ruby 2.1
```

```
#=> 1,002,376
```

```
# Ruby 2.2 (dev)
```

```
#=> 25,412
```

# Ruby 2.2 internals

## Symbol GC

- Symbols remain forever → Security issue
  - “n.times{|i| i.to\_s.to\_sym}”  
creates “n” symbols and they are never collected
- Symbol GC: Collect dynamically created symbols



<http://www.flickr.com/photos/donkeyhotey/8422065722>

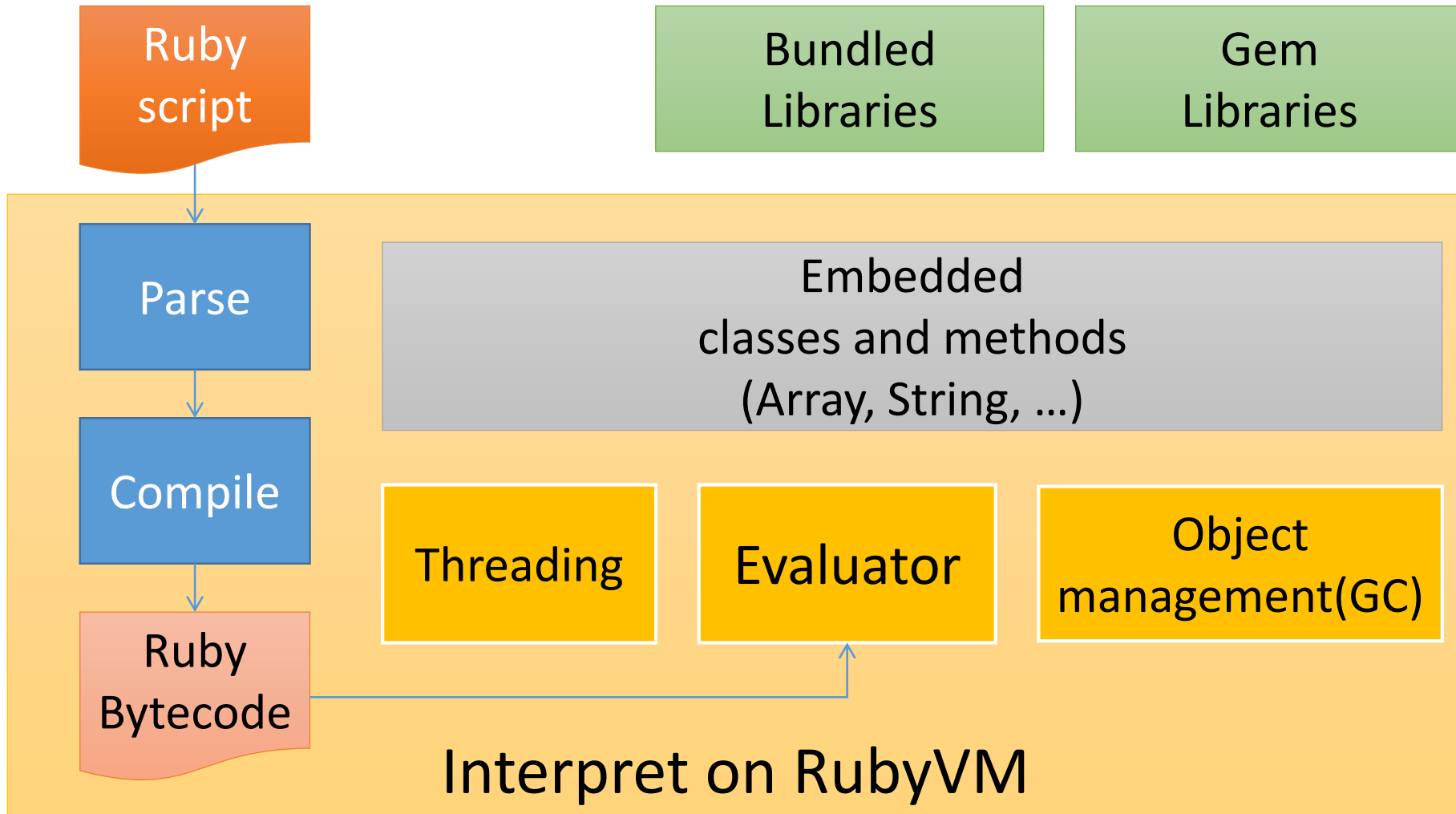
Break

# Speedup Ruby Interpreter

How do we speed up Ruby interpreter?

Software consists of  
many components

# Ruby's components

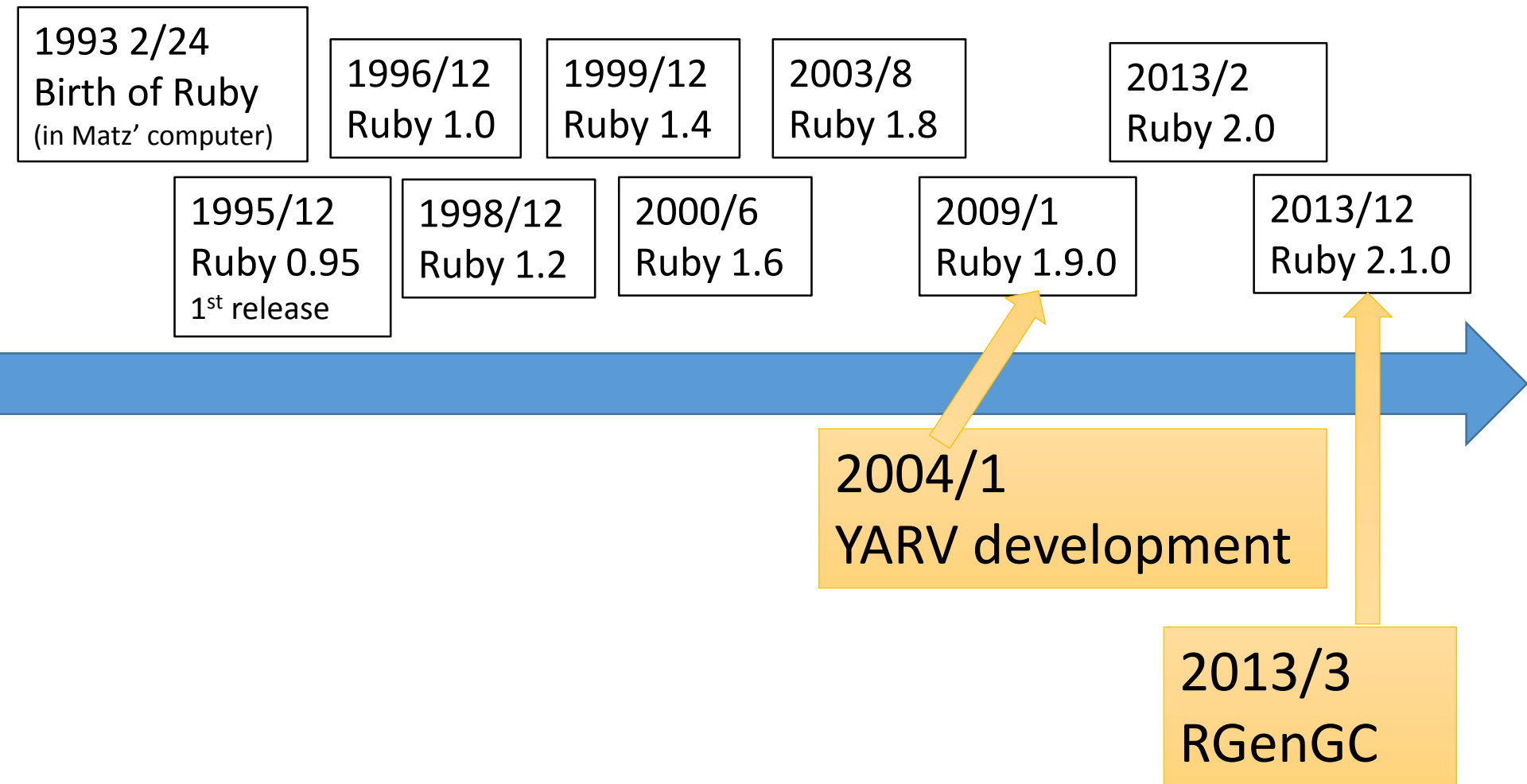


# Working for core components

- Core components I'm working for:
  - Evaluator (10 years)
  - Thread management (10 years)
  - Memory management (few years)

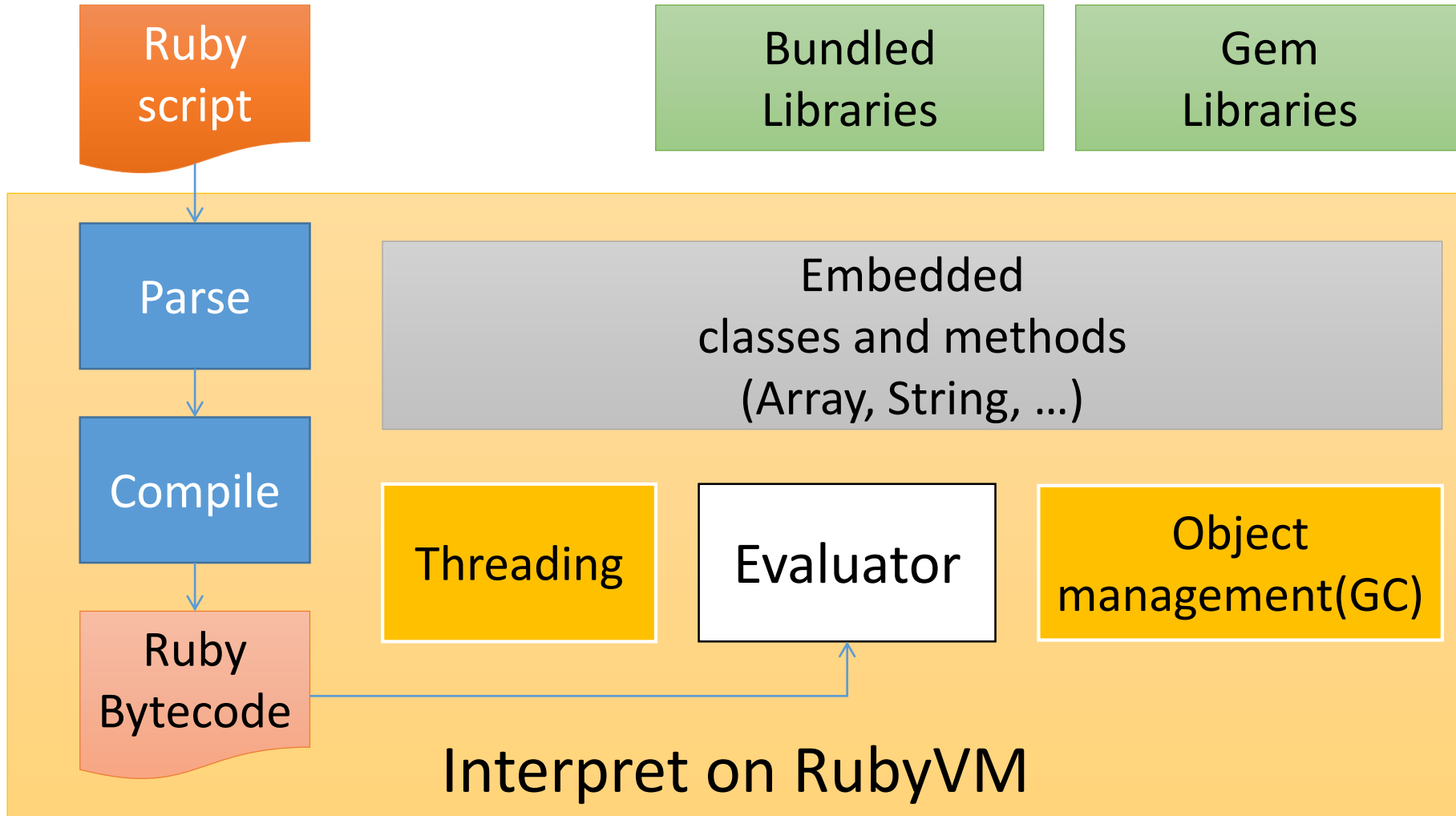


# History of Ruby interpreter



Introduce our effort  
(especially my contributions)  
to speedup Ruby interpreter

# Evaluator

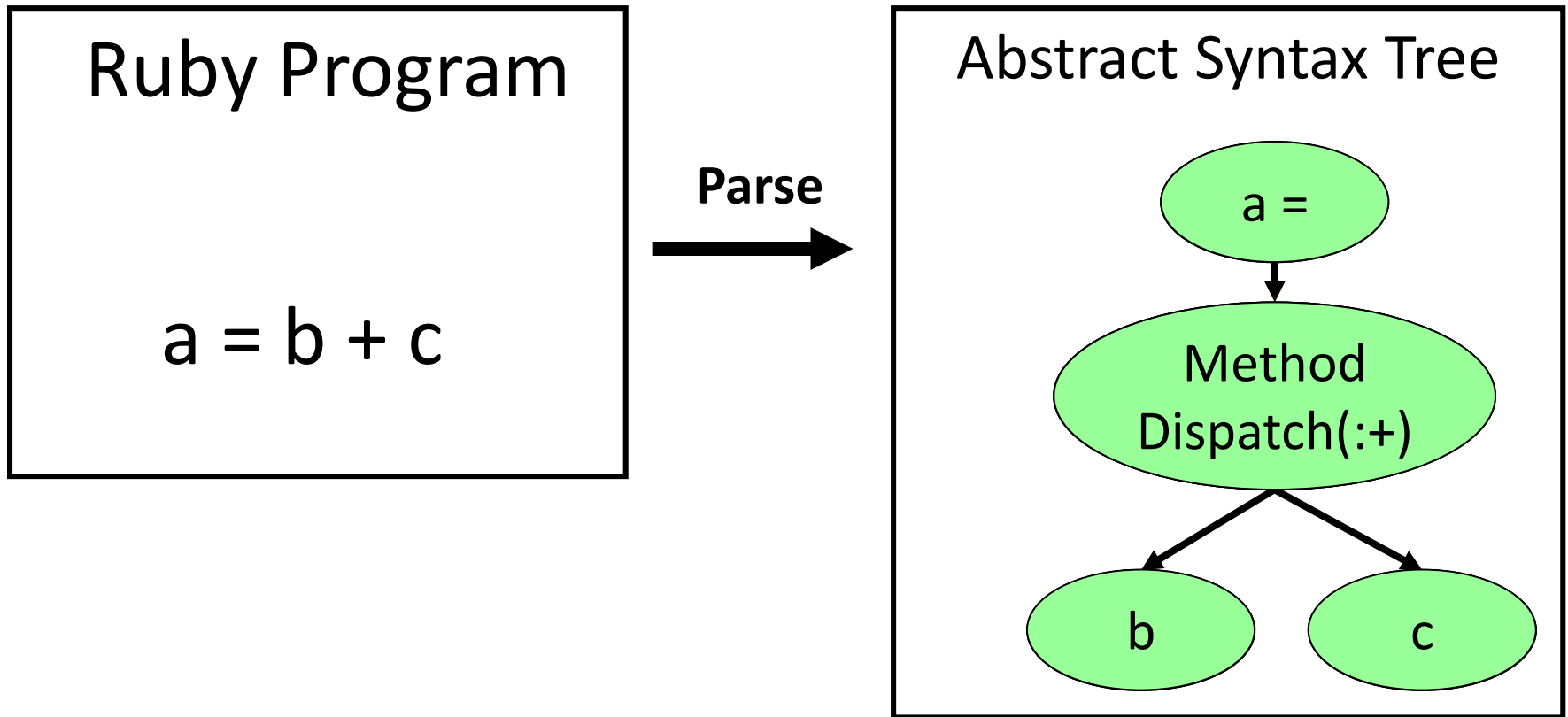


# Evaluator

- Named YARV: Yet another RubyVM
  - Start until 10 years ago (2004/01/01)
  - Simple stack machine architecture
  - Execute each bytecode instructions one by one
- Apply many known optimization techniques

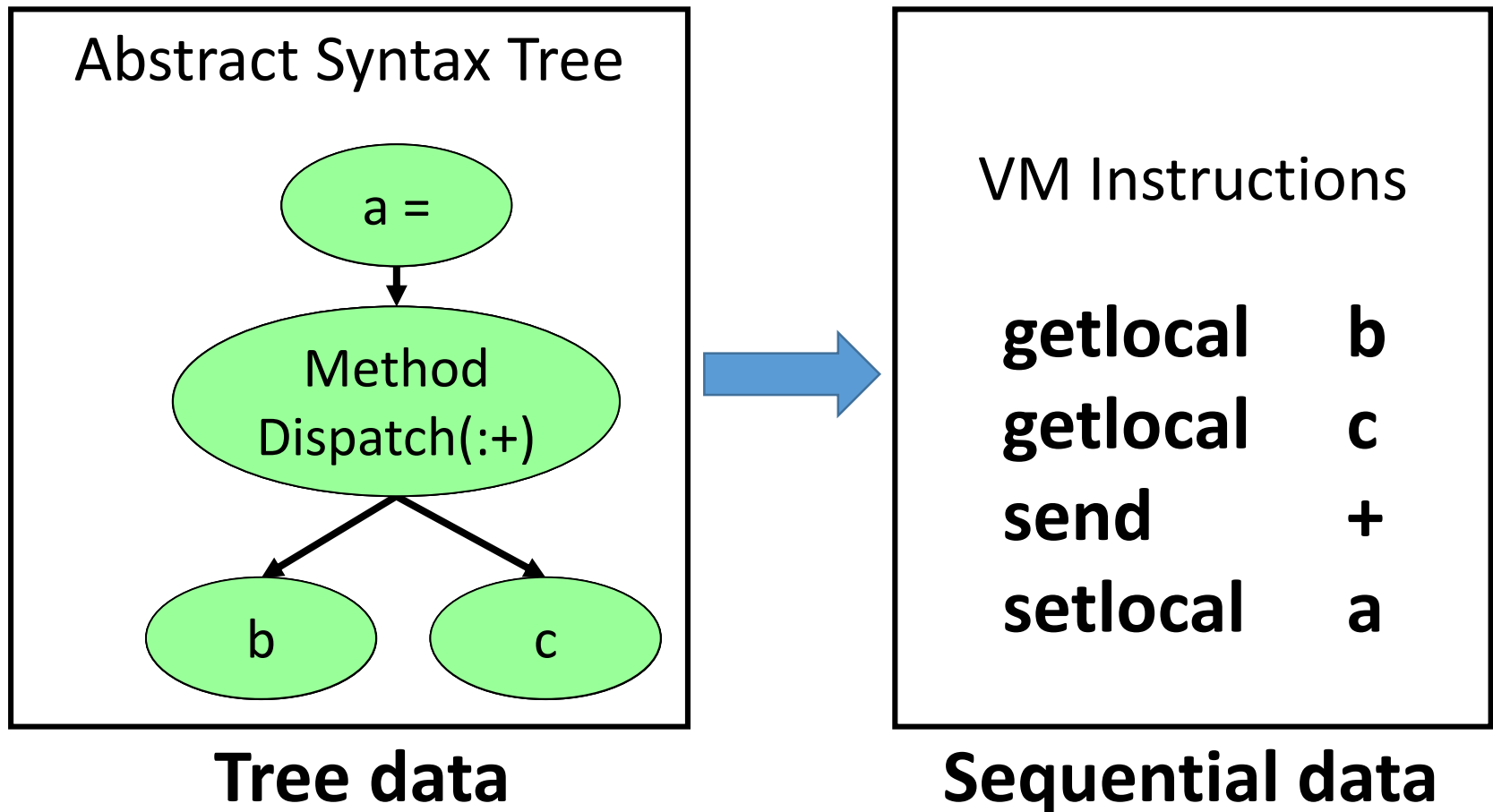
# Evaluator

## Compile Ruby to AST



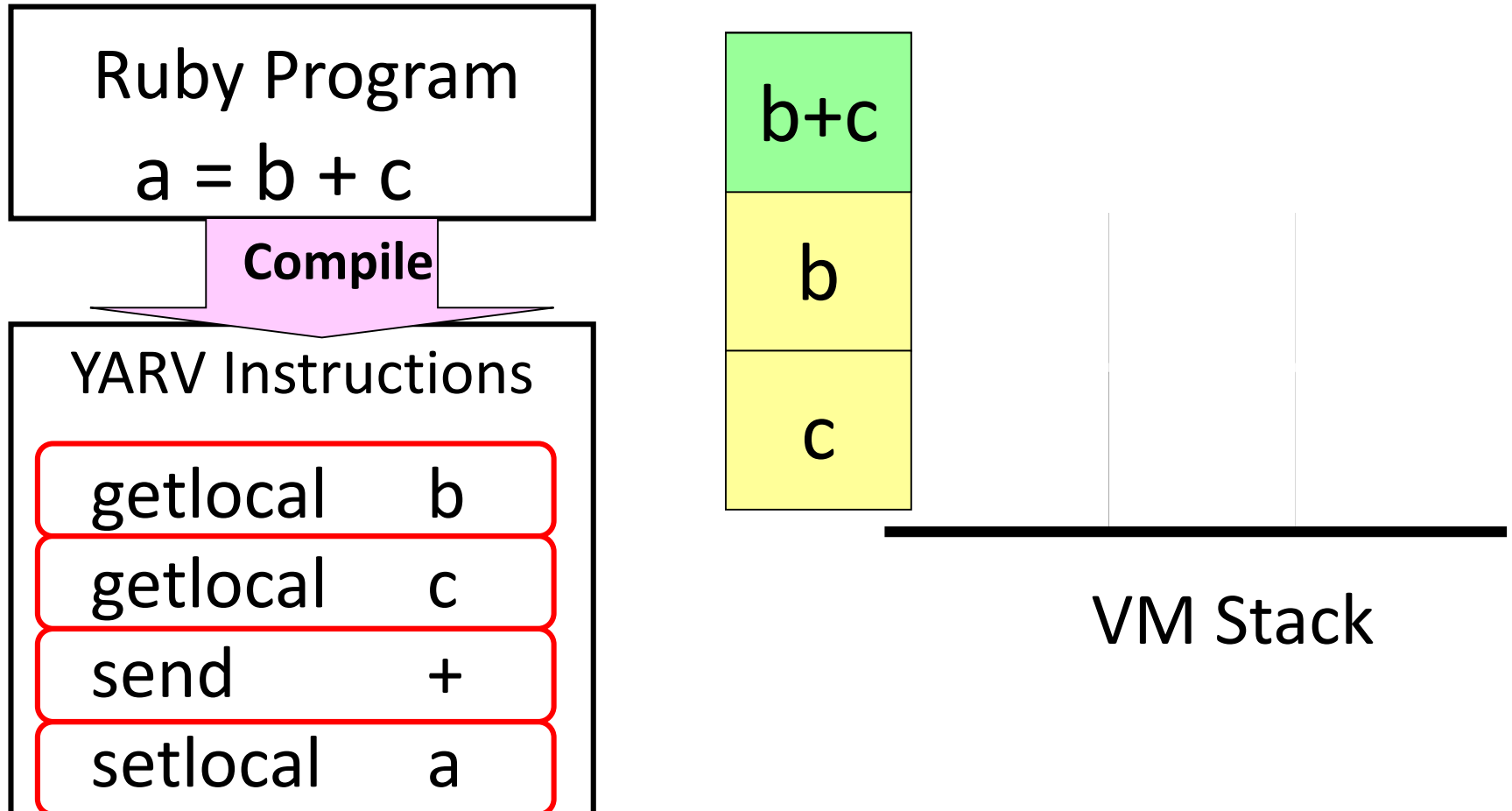
# Evaluator

## Compile AST to Bytecode



# Evaluator

## Execution as stack machine



# Evaluator Optimizations

- Apply many techniques to improve performance
  - Peephole optimizations
  - Specialized instructions
  - Stack frame layout
  - Efficient exception handling
  - Efficient block representation
  - Direct threading
  - Stack caching
  - Instructions and operands unifications
  - ...



Speedup: Ruby interpreter, Ko... Ruby Conf 2014

21 22 23 24 25 26 27

31 32 33 34 35 36 37

41 42 43 44 45 46 47

51 52 53 54 55 56 57

61 62 63 64 65 66 67

71 72 73 74 75 76 77

81 82 83 84 85 86 87

91 92 93 94 95 96 97

101 102 103 104 105 106 107

111 112 113 114 115 116 117

121 122 123 124 125 126 127

131 132 133 134 135 136 137

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691 692 693 694 695 696 697

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881 882 883 884 885 886 887

891 892 893 894 895 896 897

901 902 903 904 905 906 907

911 912 913 914 915 916 917

921 922 923 924 925 926 927

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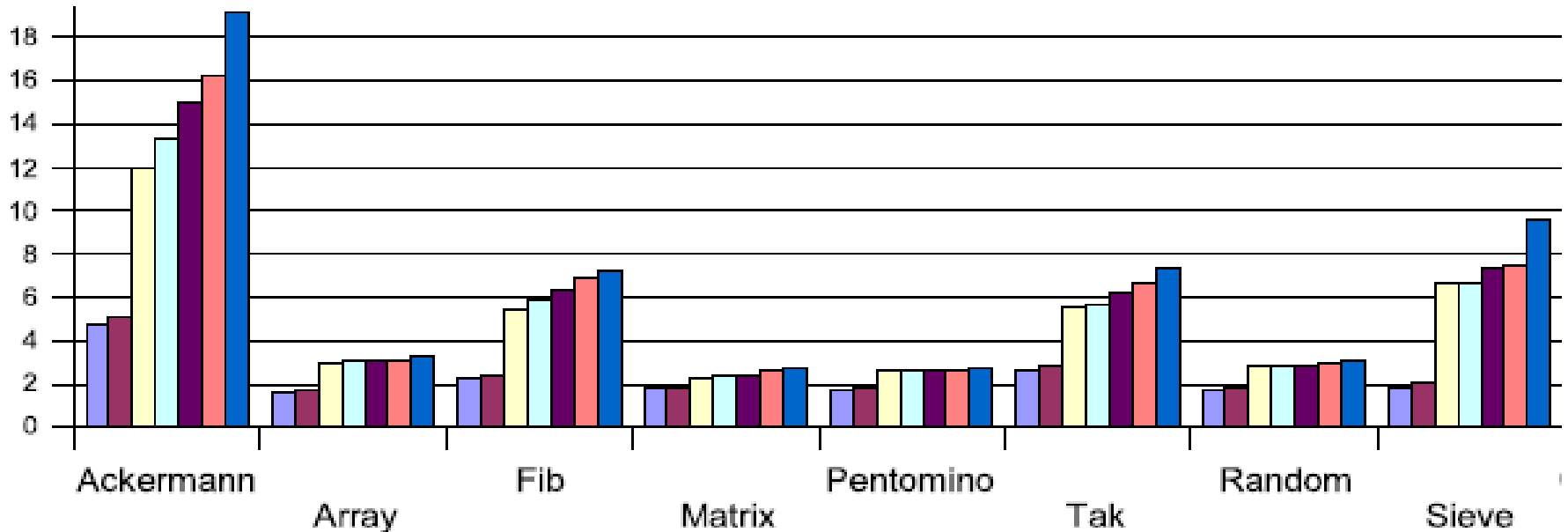
1001 1002 1003 1004 1005 1006 1007

# Evaluator Optimizations

- Analysis usage
  - And optimize for frequent cases
- Example: Exception handling
  - Exceptions occur `*EXCEPTIONAL*` so optimize for no-exception control flow

# Performance evaluation compare with Ruby 1.8

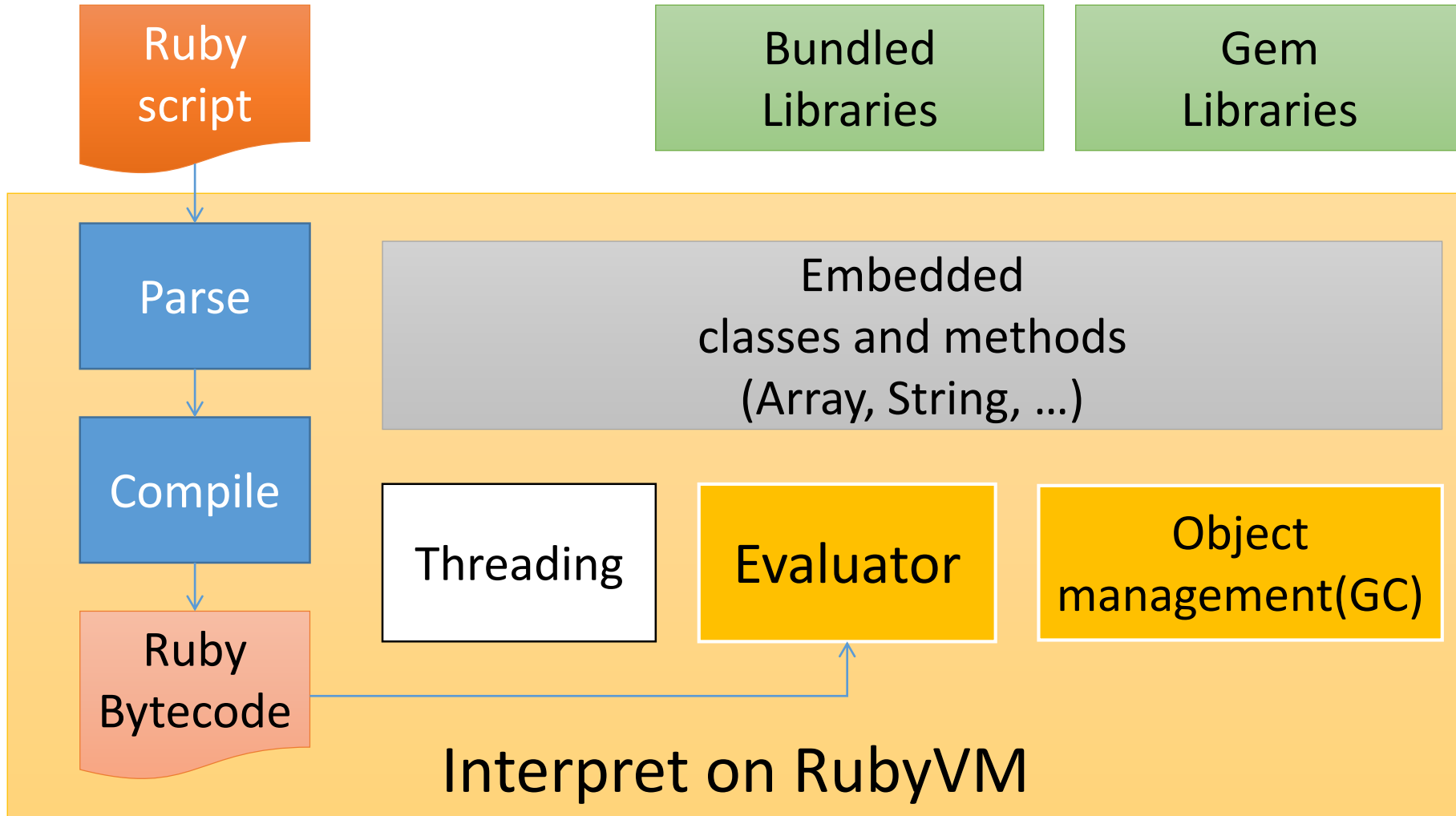
**Higher is good**



# Main components

- Evaluator
- Thread management
- Memory management

# Threading

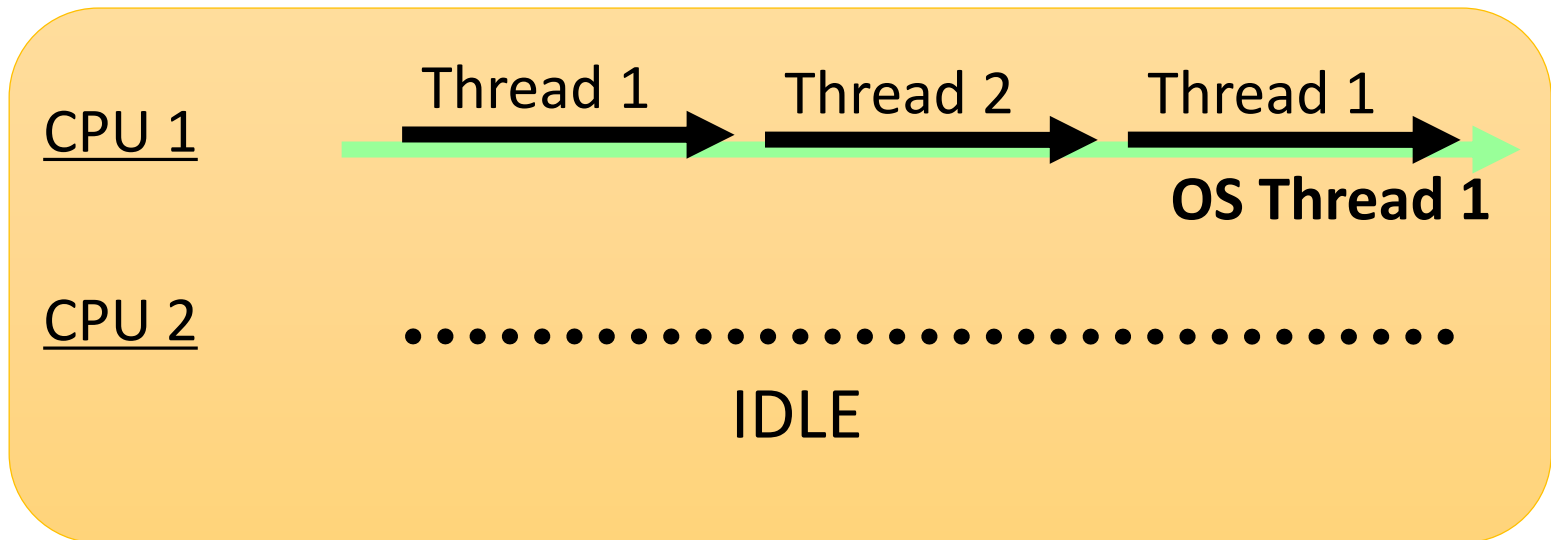


# Threading

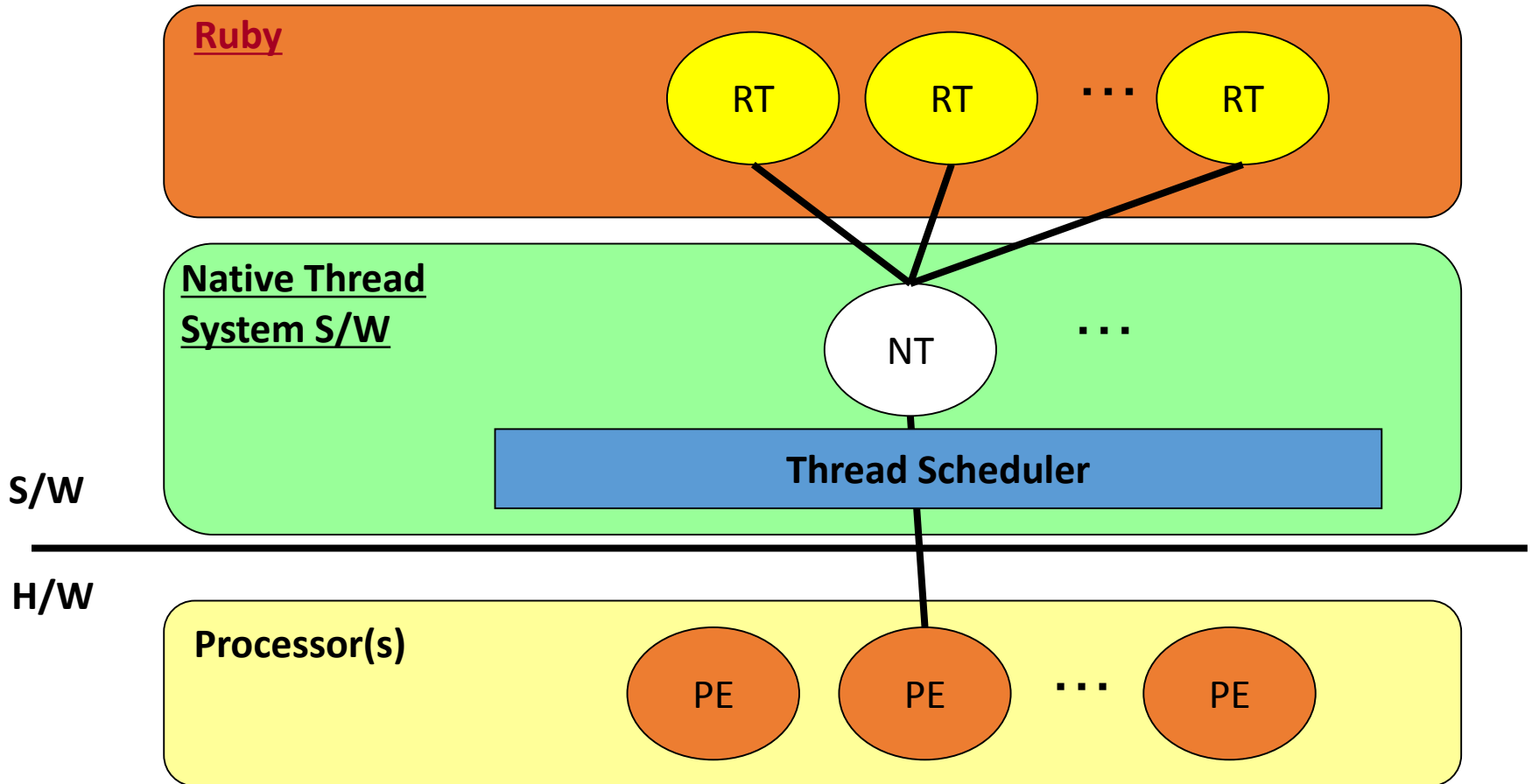
- Using native threads for each Ruby threads
- Parallel ruby execution is prohibited by GVL
  - You can free GVL if you write a code carefully in C level and run it in parallel

# Threading

## Ruby 1.8 and before



# Threading Layered view

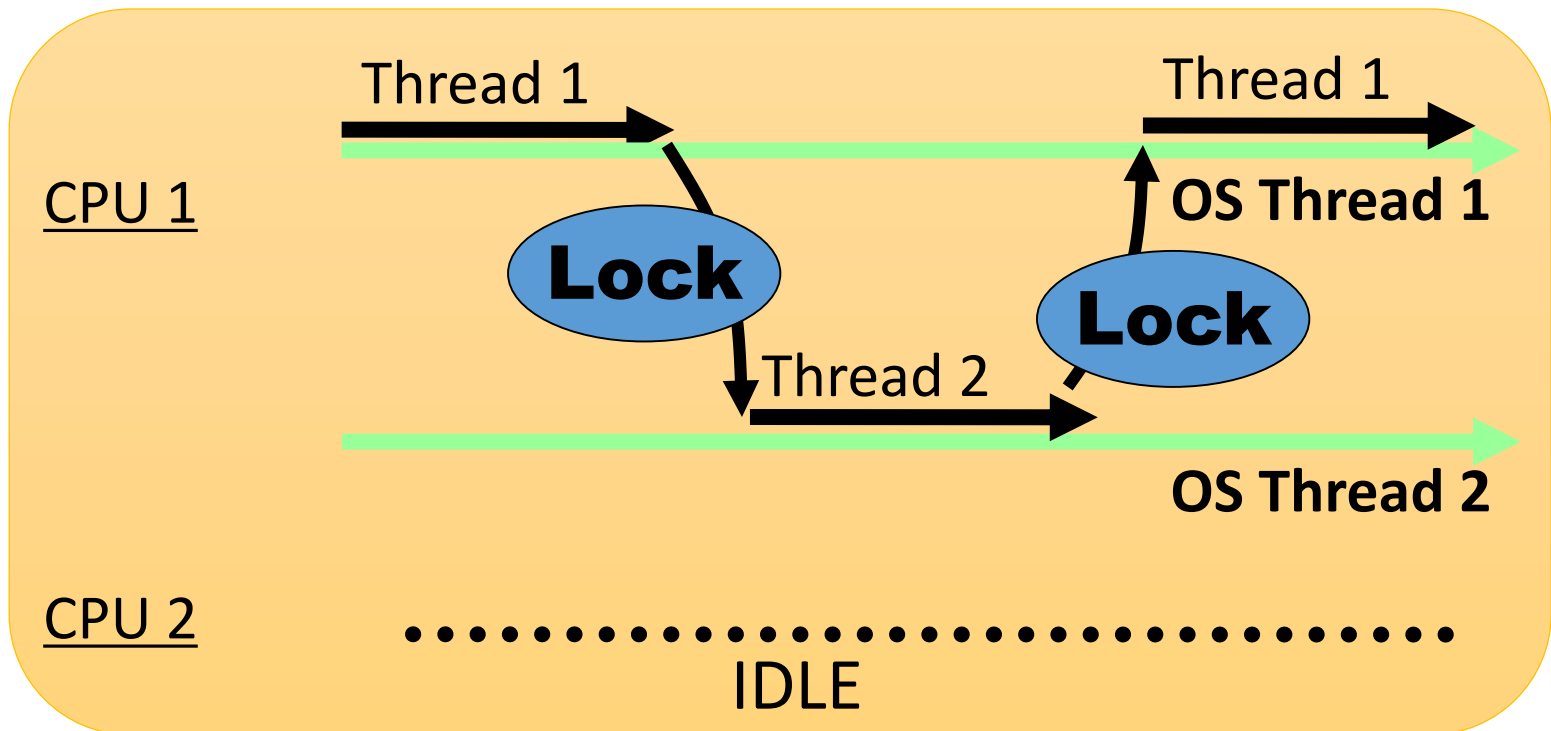




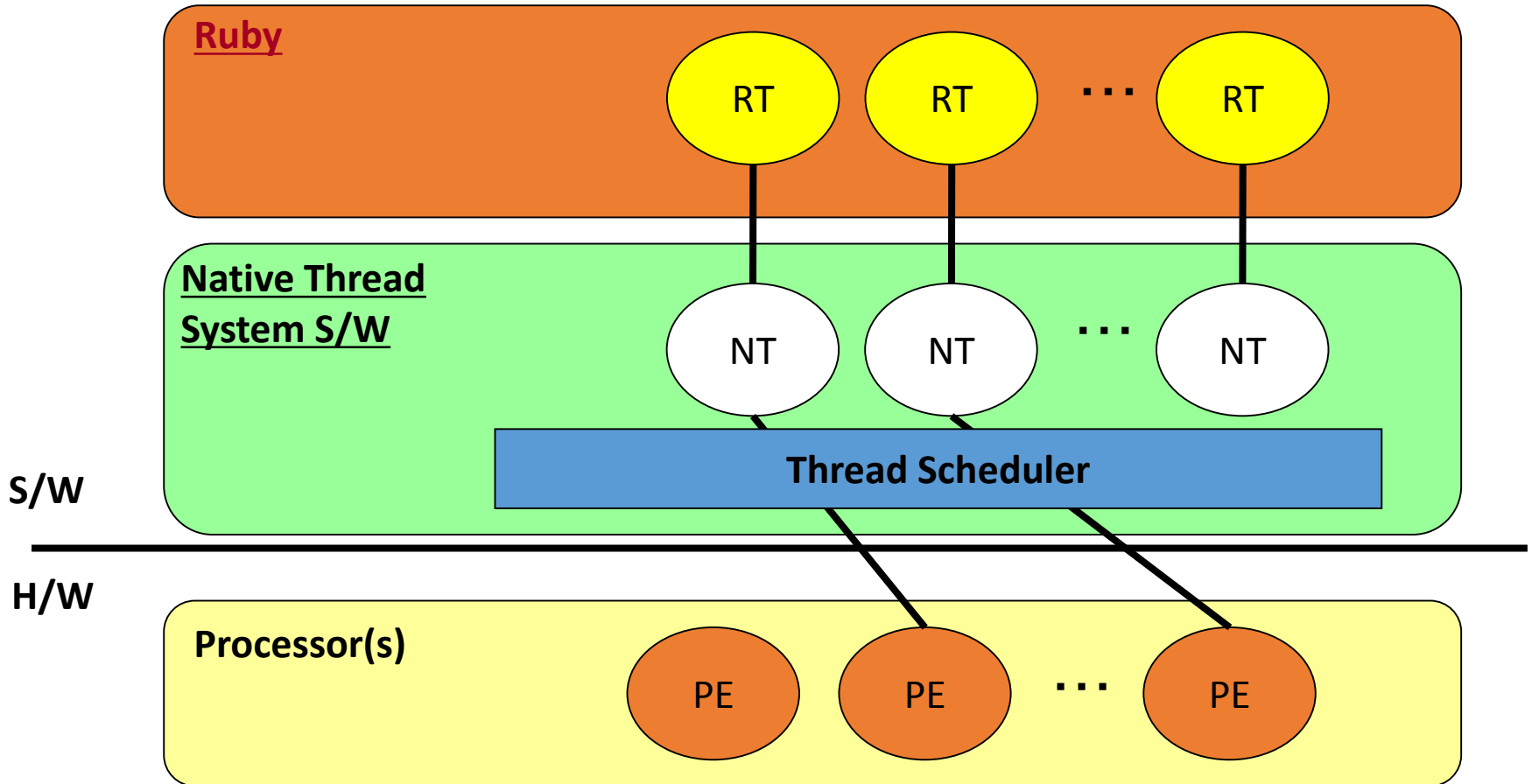
# Threading

## Ruby 1.9 and later

### Native threads with Giant VM Lock



# Threading Layered view

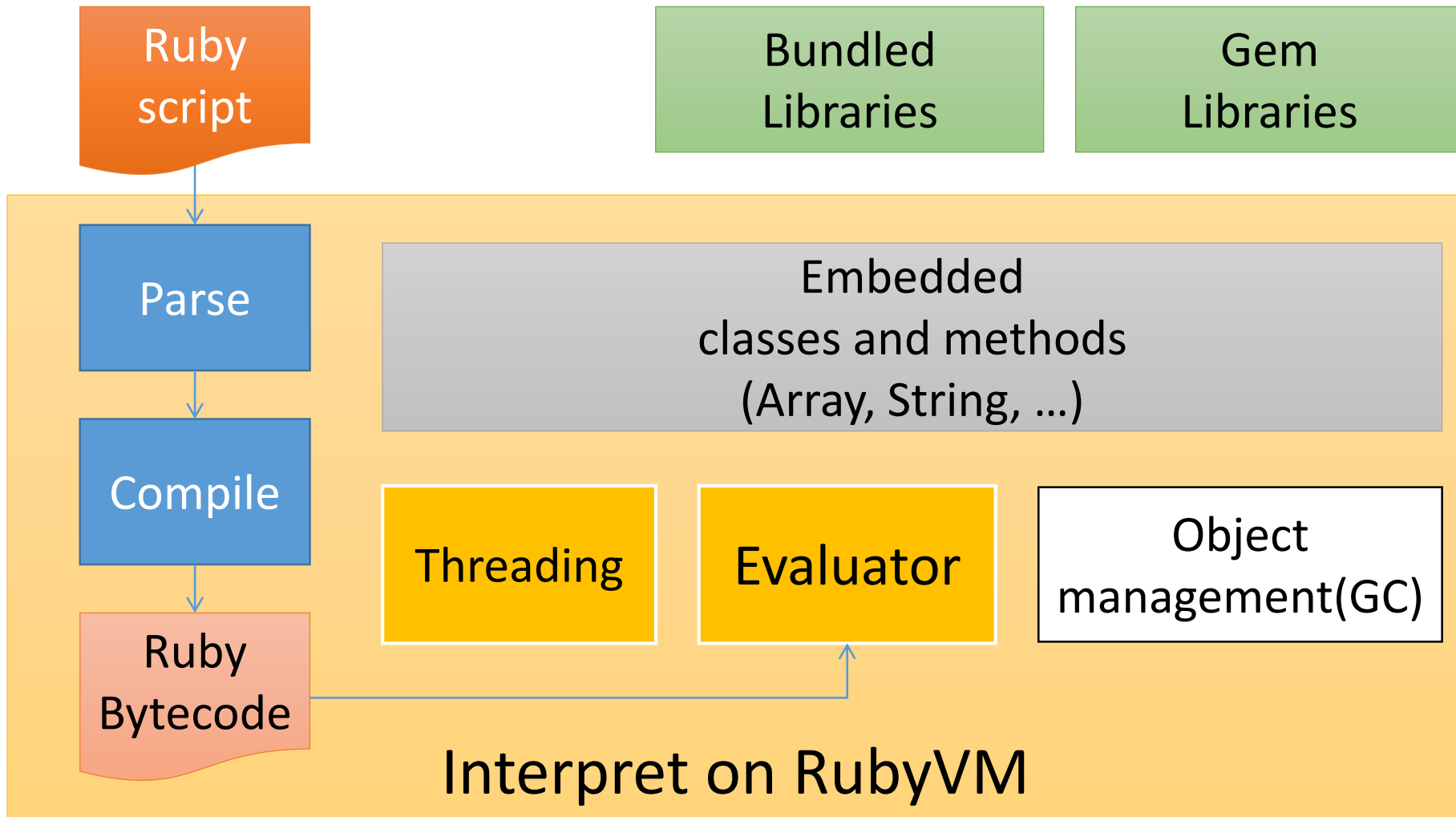


# Threading

## Why GVL?

- To protect Ruby users from nightmare debugging
  - Shared parallel threading can make non deterministic bugs which is too hard to debug
- Disadvantage
  - CRITICAL ISSUE: No parallel programming in Ruby
  - Need another programming model for parallel
    - Current **\*SHARED EVERYTHING\*** model is not match
    - Correct isolation level for each parallel execution units

# Object management (GC)



# Object and memory management

- “Object.new” allocate a new object
  - “foo” (string literal) also allocate a new object
  - Everything are objects in Ruby!
- We don't need to “**de-allocate**” objects manually

# Garbage collection

The automatic memory management



**FIG. 109. — A GARBAGE COLLECTOR.**

<http://www.flickr.com/photos/circasassy/6817999189/>

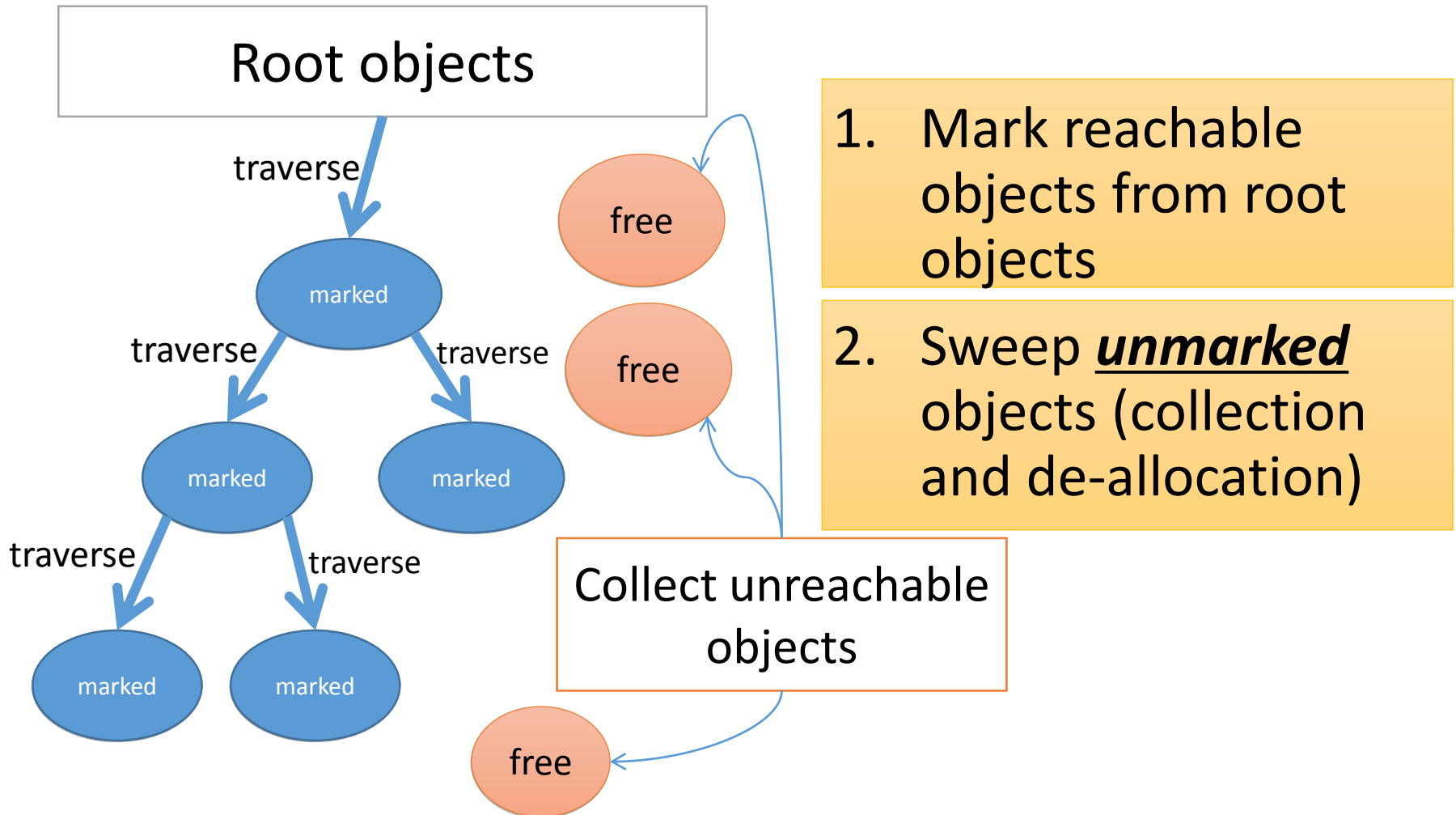
# Automatic memory management

## Basic concept

- **Garbage collector recycled “unused” objects automatically**



# Mark & Sweep algorithm

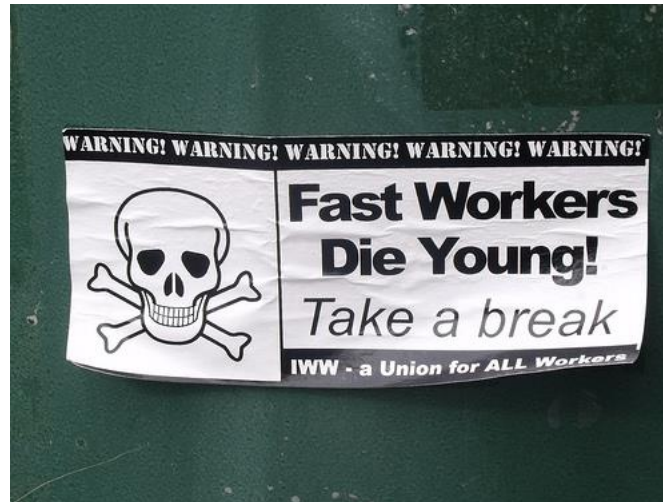




# Generational GC (GenGC)

- Weak generational hypothesis:

**“Most objects die young”**

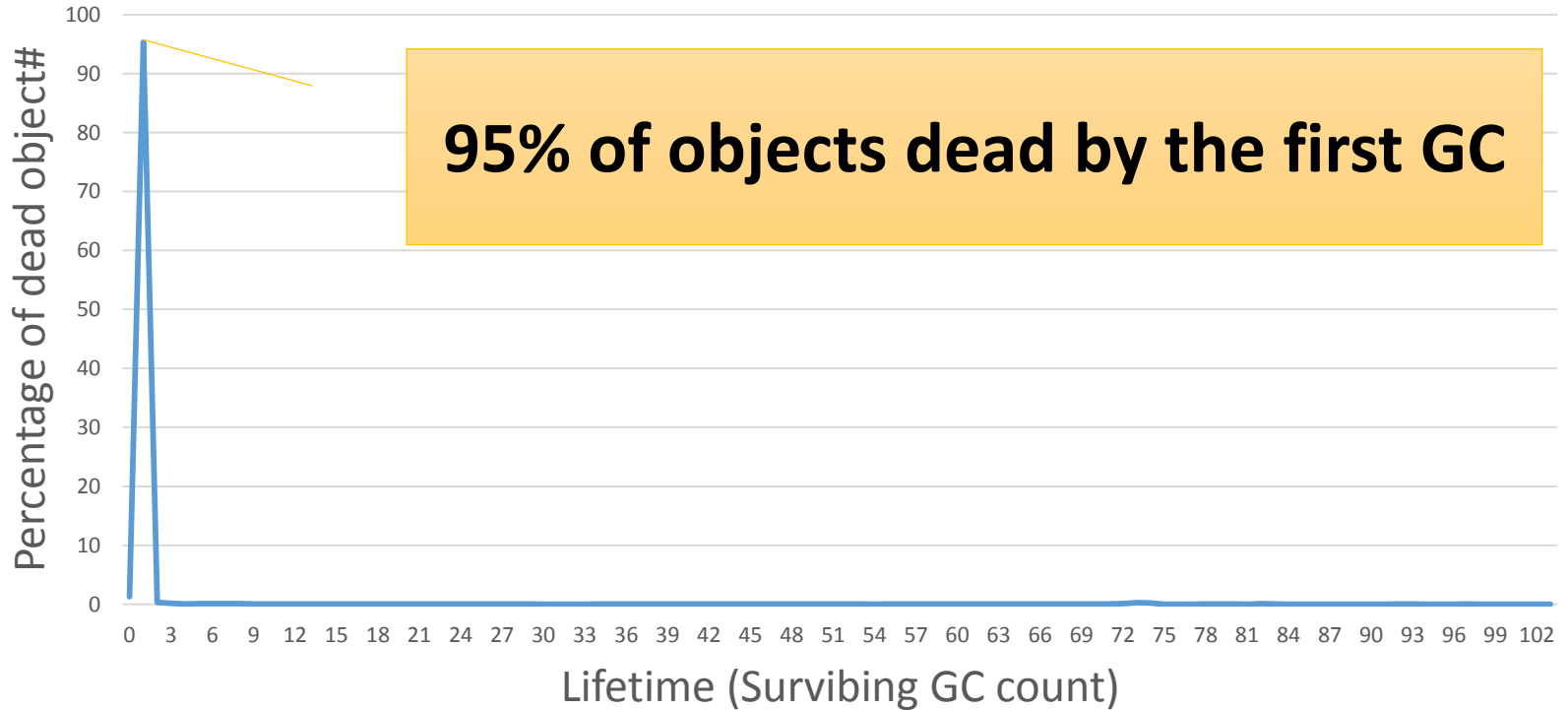


<http://www.flickr.com/photos/ell-r-brown/5026593710>

**→ Concentrate reclamation effort  
only on the young objects**

# Generational hypothesis

Object lifetime in RDoc  
(How many GCs surviving?)



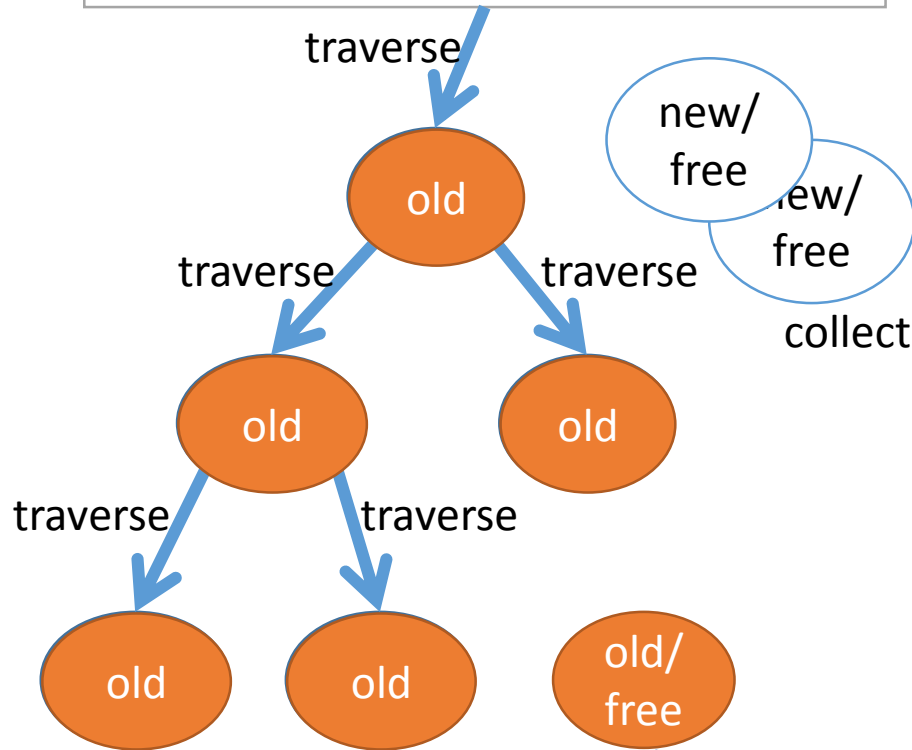
# Generational GC (GenGC)

- Separate young generation and old generation
  - Create objects as young generation
  - Promote to old generation after surviving *n-th* GC
  - In CRuby,  $n == 1$  (after 1 GC, objects become old)
    - $n == 2$  from Ruby 2.2 (plan)
- Usually, GC on young space (minor GC)
- GC on both spaces if no memory (major/full GC)

# GenGC [Minor M&S GC] (1/2)

1<sup>st</sup> MinorGC

Root objects



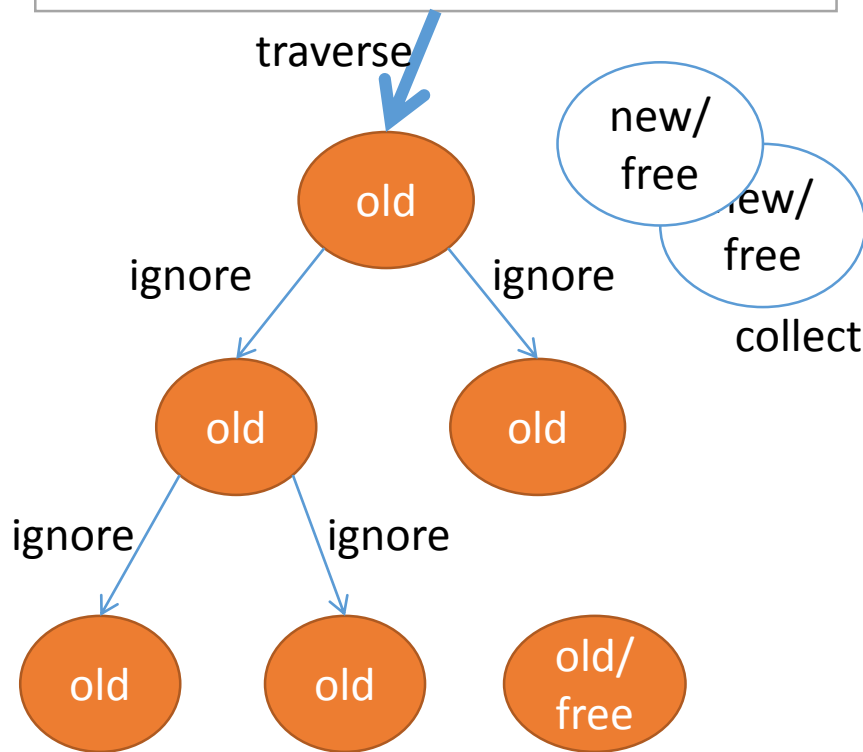
- Mark reachable objects from root objects.
  - Mark and **promote to old generation**
  - Stop traversing after old objects
- **→ Reduce mark overhead**
- Sweep not (marked or old) objects
- Can't collect Some unreachable objects

Don't collect old object even if it is unreachable

# GenGC [Minor M&S GC] (2/2)

2<sup>nd</sup> MinorGC

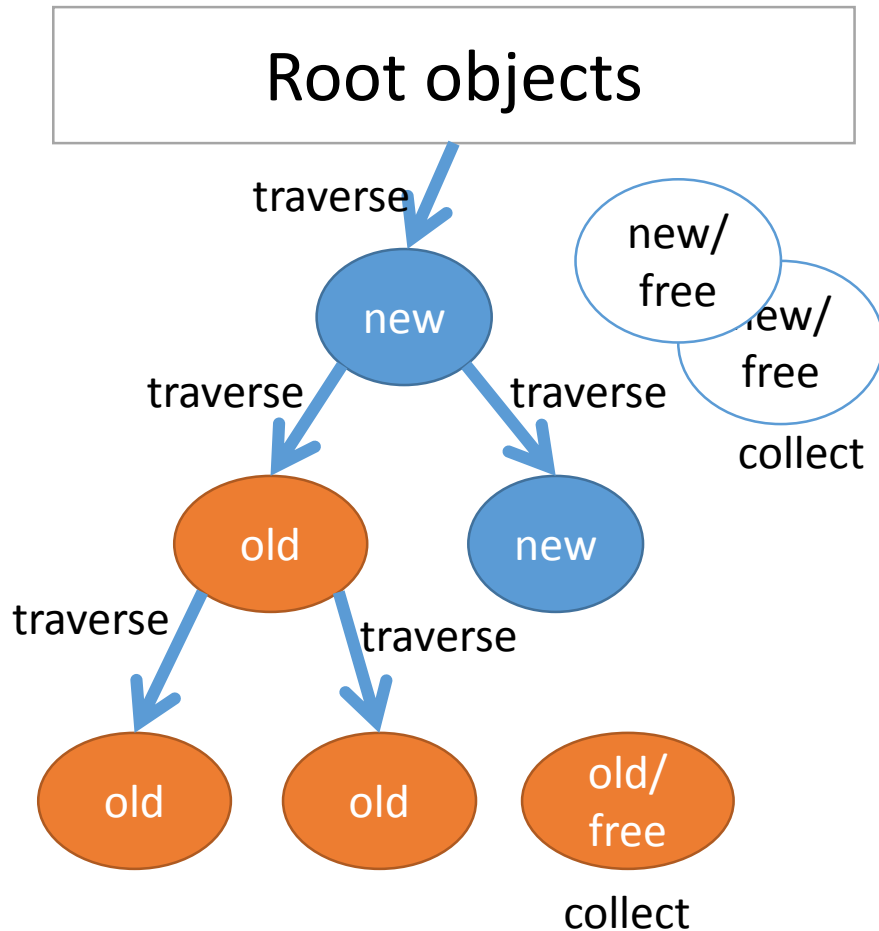
Root objects



- Mark reachable objects from root objects.
  - Mark and **promote to old generation**
  - Stop traversing after old objects
- **Reduce mark overhead**
- Sweep not (marked or old) objects
- Can't collect Some unreachable objects

Don't collect old object even if it is unreachable

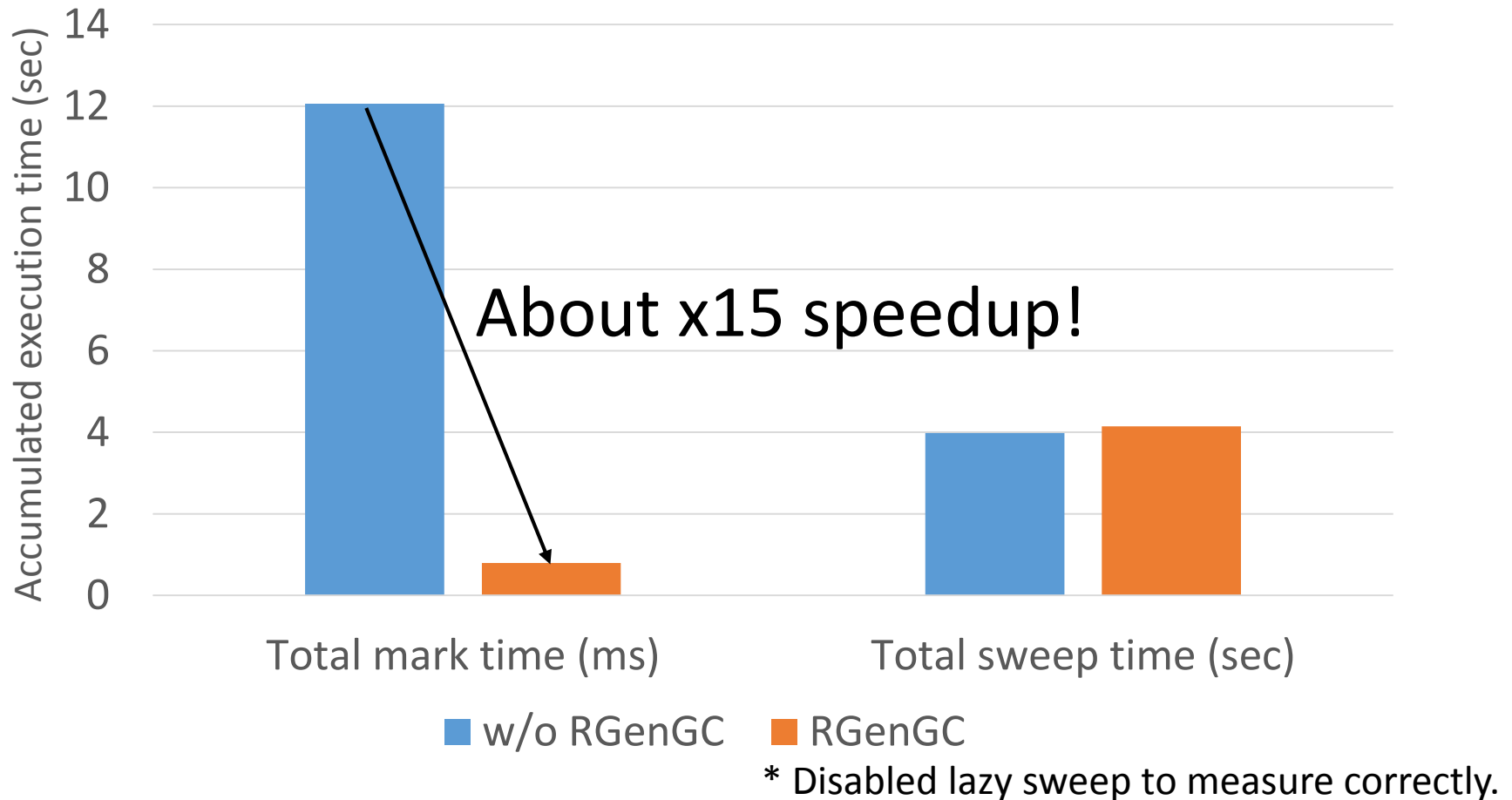
# GenGC [Major M&S GC]



- Normal M&S
- Mark reachable objects from root objects
  - Mark and **promote to old gen**
- Sweep unmarked objects
- Sweep all unreachable (unused) objects

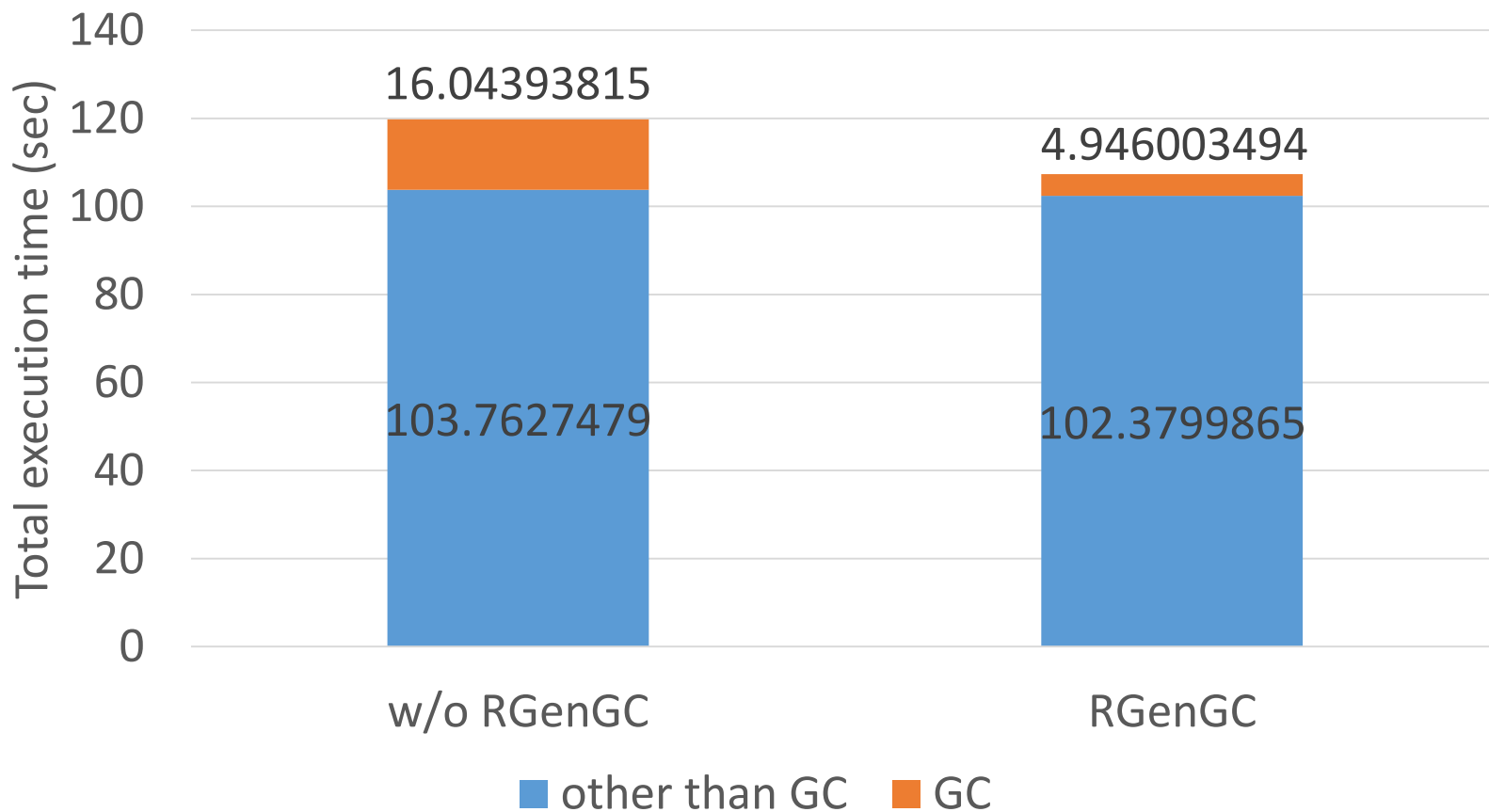
# RGenGC

## Performance evaluation (RDoc)



# RGenGC

## Performance evaluation (RDoc)



\* 12% improvements compare with w/ and w/o RGenGC

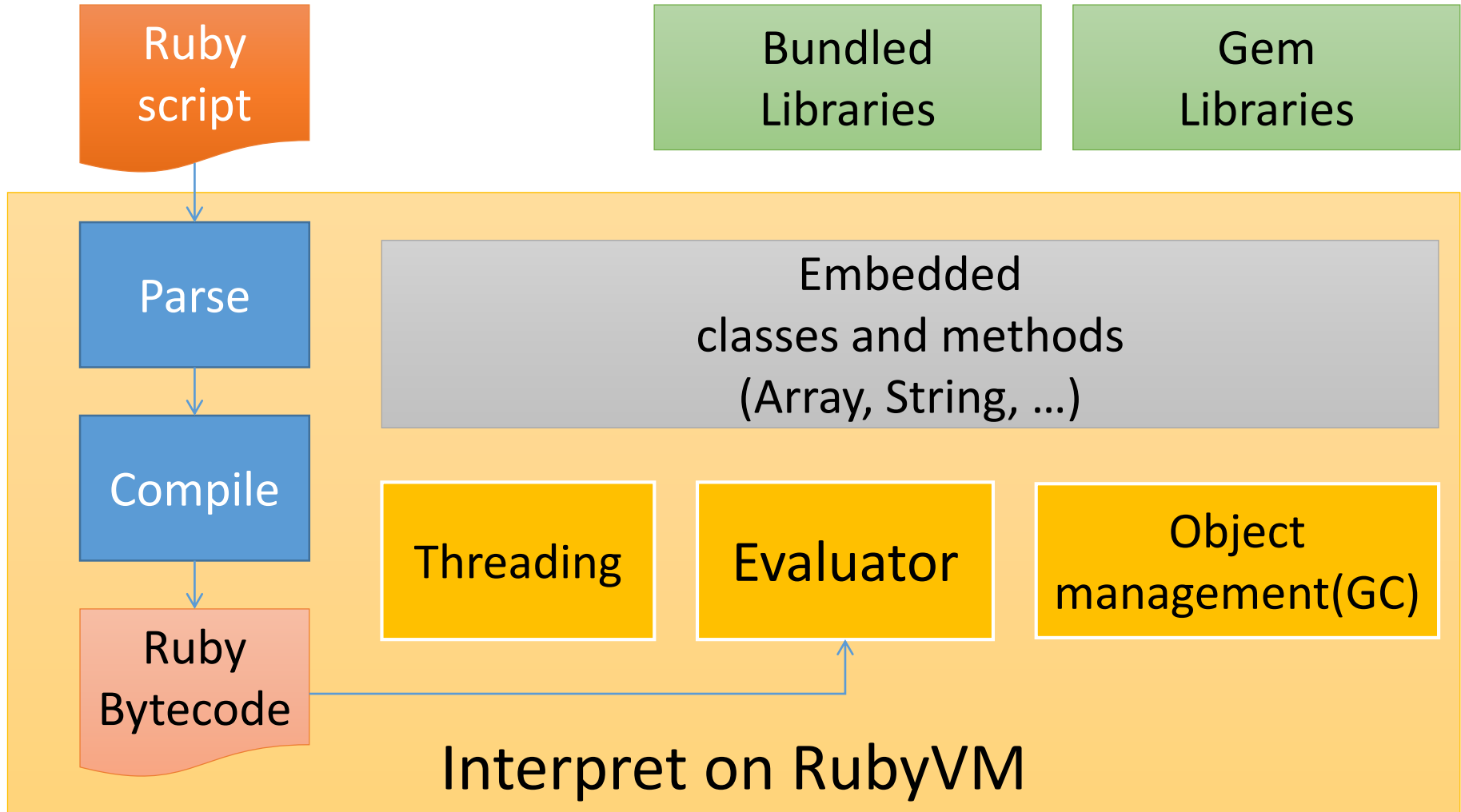
\* Disabled lazy sweep to measure correctly.



# Speedup Ruby Interpreter

How to speed up Ruby interpreter?

# DO EVERYTHING! NO SILVER BULLET!



**DO EVERYTHING!  
NO SILVER BULLET!**

**We did.**

**We are doing.**

**We will do!!**

**Only continuous effort  
improves software quality.**

# Future work: Many many many!!

- Evaluator
  - JIT compilation
  - More drastic optimizations
- Threading
  - Parallel execution model (not a thread?)
- Object management and GC
  - Incremental GC
  - Compaction GC
  - Lightweight object allocation

# Summary

- Ruby 2.1 and Ruby 2.2
- How to speed up Ruby interpreter?
  - Evaluator
  - Threading
  - Object management / Garbage collection

One answer is:

**#=> Continue software development**

# Thank you for your attention Q&A?

**With slowly/clearly English, thank you.**

**Koichi Sasada**

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