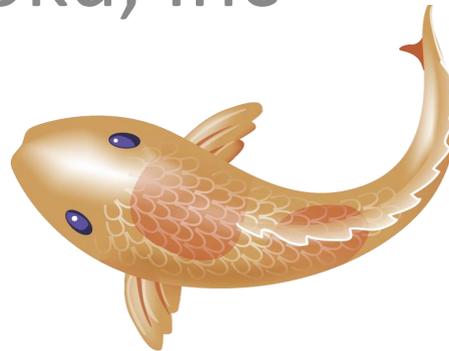


# Towards Ruby 2.0: Progress of (VM) Internals

The results of “My Code”

Koichi Sasada

Heroku, Inc



# Agenda

- Background
- Finished work - Ruby 2.0 Internal Changes
  - Support Module#prepend
  - Introducing Flonum
  - New set\_trace\_func
  - Controllable asynchronous interrupts
  - Deep changes
- Remaining work - Ruby 2.0 Internal Features
  - Virtual machine changes
    - Enable “disabled-optimizing” options
    - Optimize “send” instruction
    - Change VM data structures
  - C APIs for “incomplete features”
- Future work – Dreams: After Ruby 2.0

# Introduction

- Koichi Sasada
  - Heroku, Inc.
    - Heroku is a cloud application platform - a new way of building and deploying web apps.
    - No longer a professor 😊
  - One of CRuby committer
    - Full-time committer employed by Heroku, Inc.
    - Toward Ruby 2.0 (next release)
    - Matz is my boss.

# Background

## Brief History of Ruby Interpreter

**1993 2/24**  
Birth of Ruby  
(in Matz' computer)

1996/12  
Ruby 1.0

1999/12  
Ruby 1.4

2003/8  
Ruby 1.8

2012/9  
イマココ

1995/12  
Ruby 0.95  
1<sup>st</sup> release

1998/12  
Ruby 1.2

2000/6  
Ruby 1.6

2009/1  
Ruby 1.9.0

**2000 Book:**  
**Programming Ruby**

**2004~**  
**Ruby on Rails**

# Background

## Ruby 2.0 Roadmap

2012/Sep  
イマココ

**2013/2/24**

Ruby 2.0 Release  
(20<sup>th</sup> anniversary)

2012/Aug  
“Big-feature” freeze  
(was invalidated?)

2012/Oct  
Feature freeze

Quoted from “[ruby-core:40301]  
A rough release schedule for 2.0.0”

# Background

## Ruby 2.0 Policy

- Compatibility
- Compatibility
- Compatibility
- Usability
- Performance

# Finished work

## Ruby 2.0 Internal Changes

# Finished work

## Ruby 2.0 Internal Changes

- Support `Module#prepend`
- Introducing Flonum
- New backtrace API “`caller_locations`”
- New `set_trace_func` related features
- Controllable asynchronous interrupts
- Deep changes

# Module#prepend

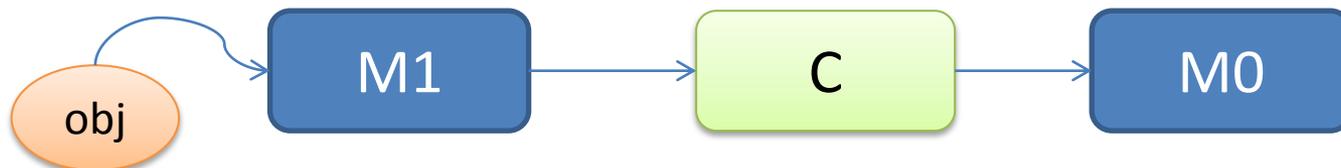
- Classes can include modules

```
module M; end  
class C; include M; end
```

- The method search order is “Original -> Included module”

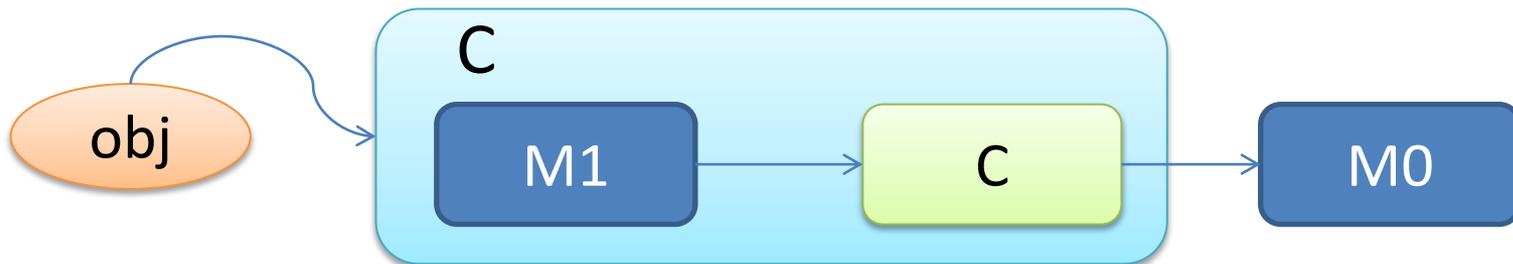


- Object#extend allow to put module, but it's only extend an object



# Module#prepend

- Module#prepend enables to extend the class which override original class



# Module#prepend

- Example

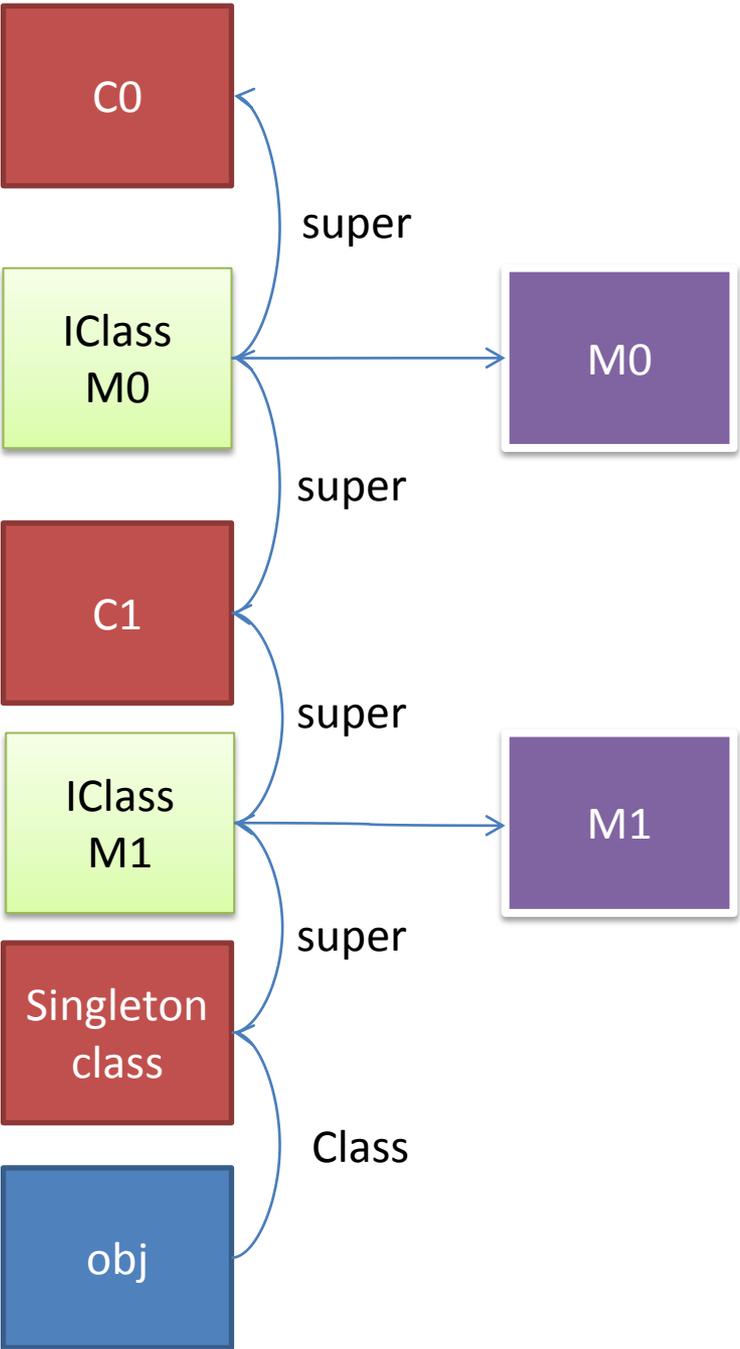
- Invoke specific procedure before/after the method invocation (“around”)

```
module EachTracer
  def each(*args)
    before_each
    r = super(*args) # call original
    after_each
    r
  end
end

class Array
  prepend EachTracer
  def before_each; p :befor_each; end
  def after_each; p :after_each; end
end

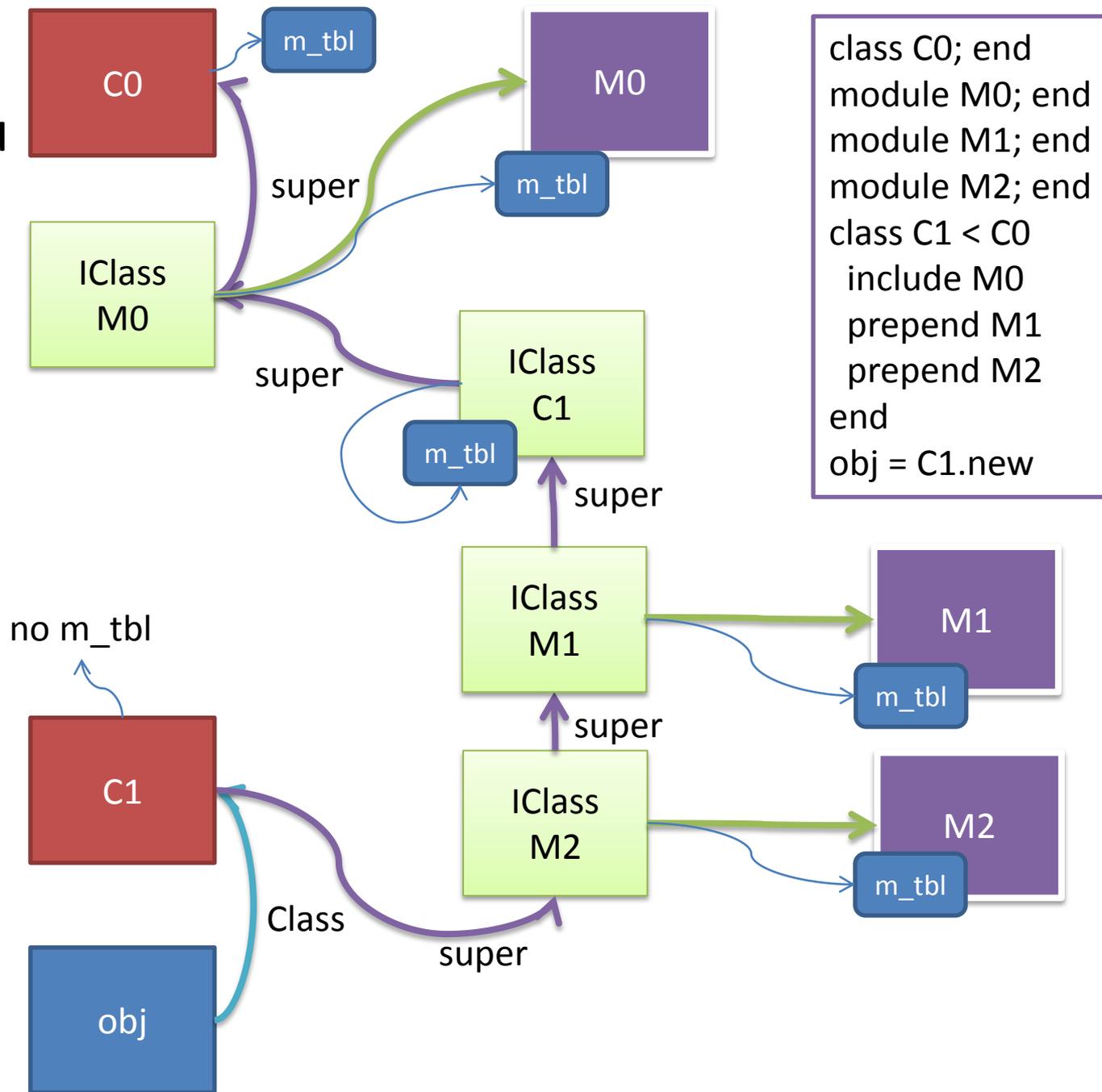
[1, 2, 3].each{|i| p i}
#=>
:befor_each
1
2
3
:after_each
```

# Ruby 1.9 or before



```
class C0; end
module M0; end
module M1; end
class C1 < C0
  include M0
end
obj = C1.new
obj.extend M1
```

# After Ruby 2.0 Module#prepend

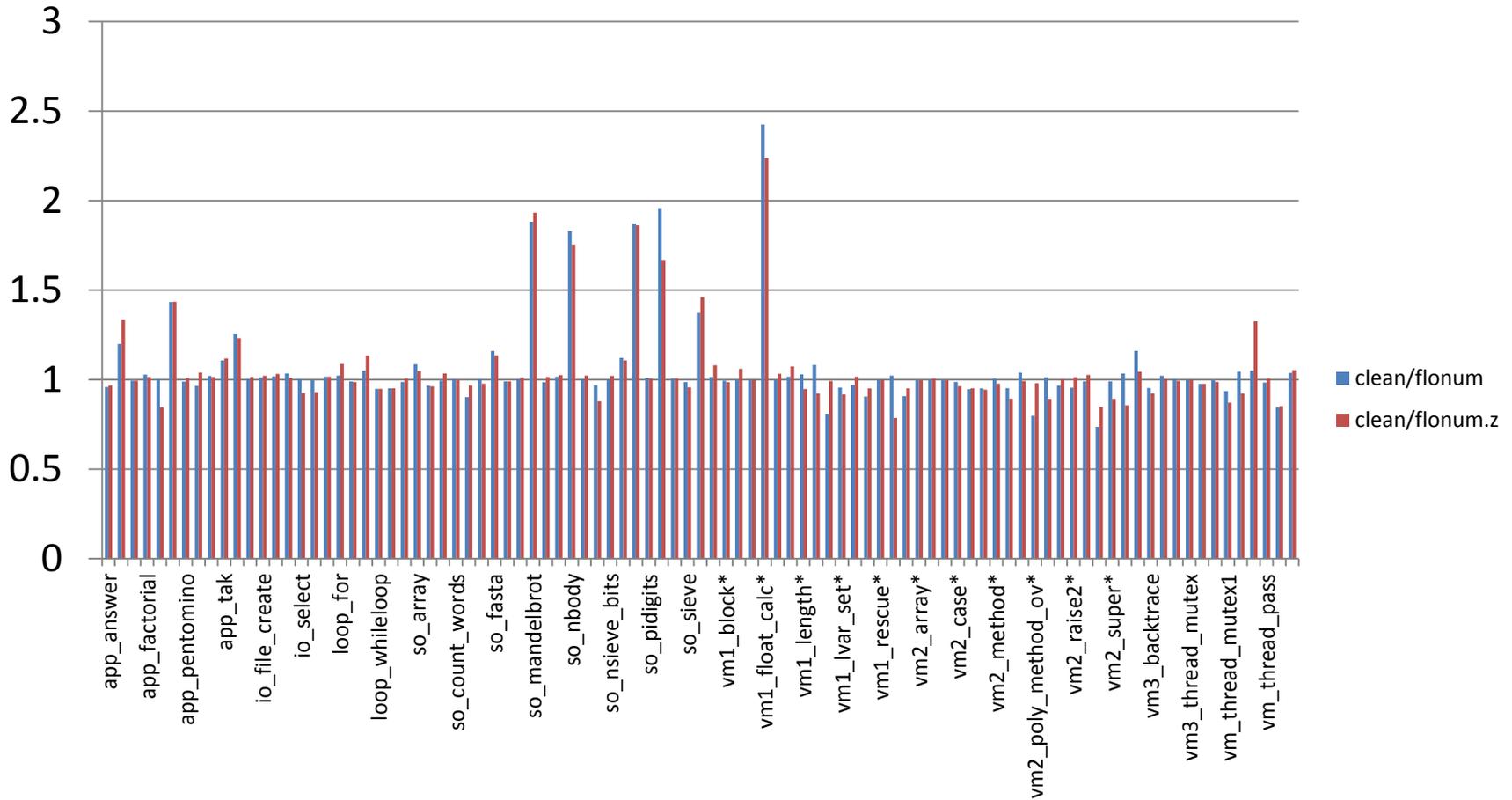


# Introducing Flonum

## (only on 64bit CPU)

- Problem: Float objects are not immediate on Ruby 1.9
  - It causes GC overhead problem
- **To speedup floating calculation, represent Float object as immediate object**
  - Specified range Float objects are represented as immediate object (Flonum) like Fixnum
    - $1.72723e-77 < |f| < 1.15792e+77$  (approximately) and +0.0
    - Out of this range and all Floats on 32bit CPU are allocated in heap
  - No more GCs! (in most of case)
  - Flonum and old Float are also Float classes
  - Proposed by [K.Sasada 2008]
  - On 64bit CPU, object representation was changed

# Benchmark results

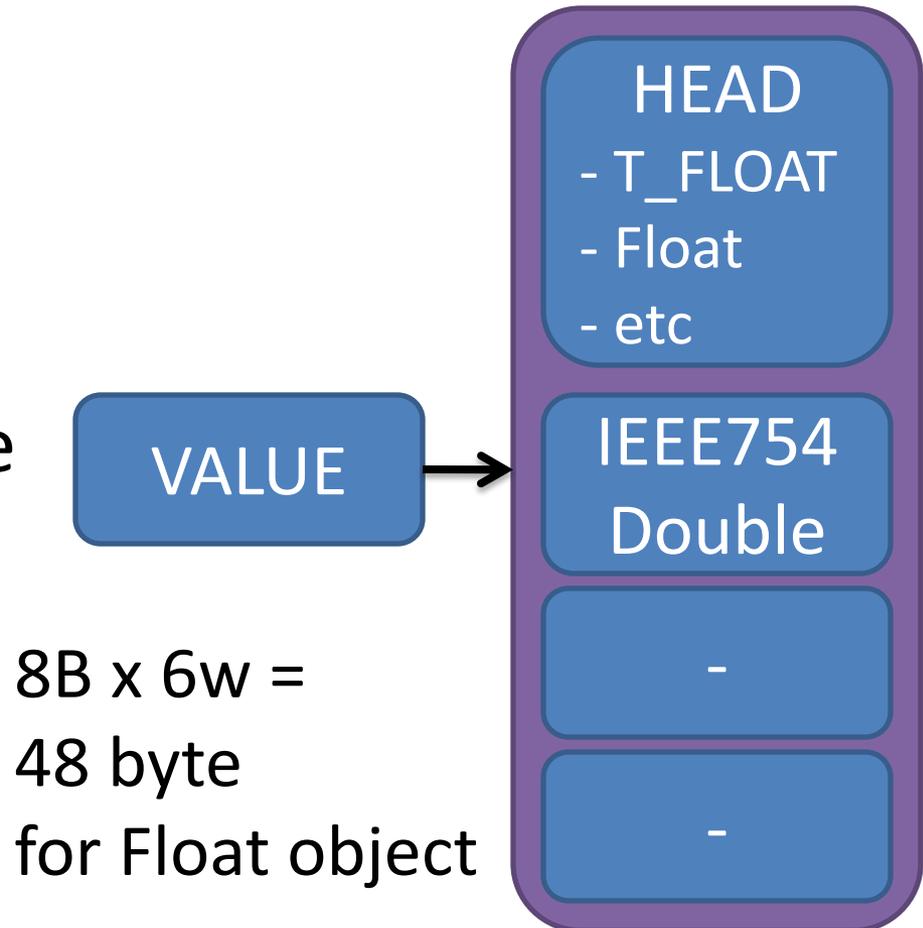


# Flonum: Float in Heap (1.9 or before)

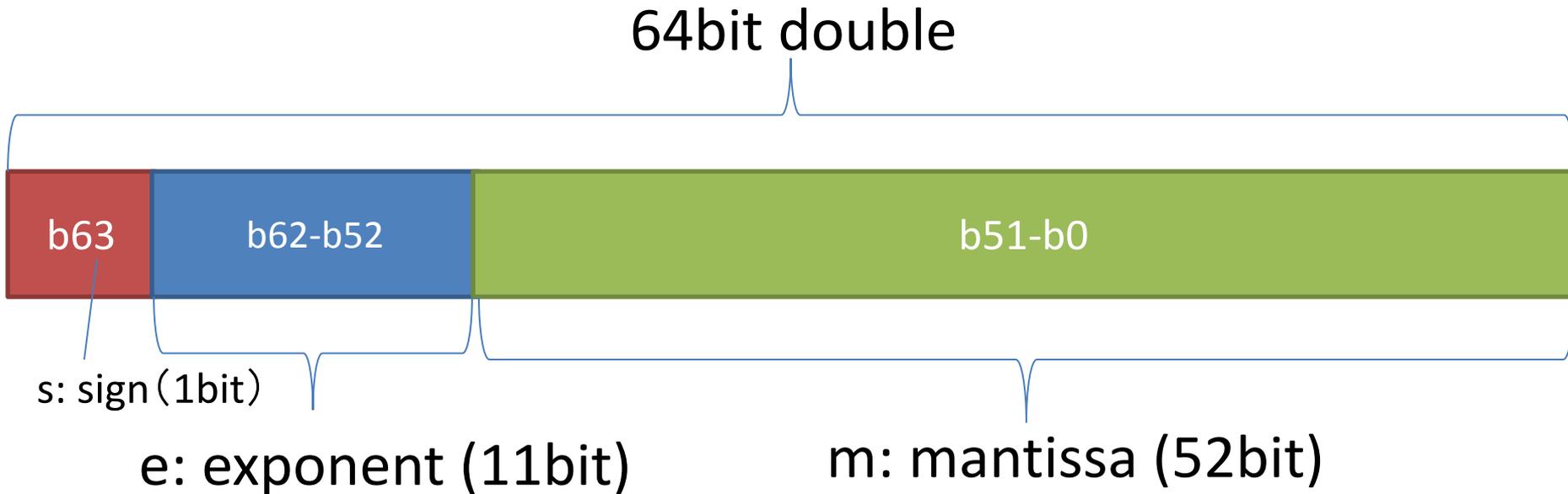
All of Float object  
are allocated in heap

Data structure in heap  
contains IEEE754/double

On 64bit CPU



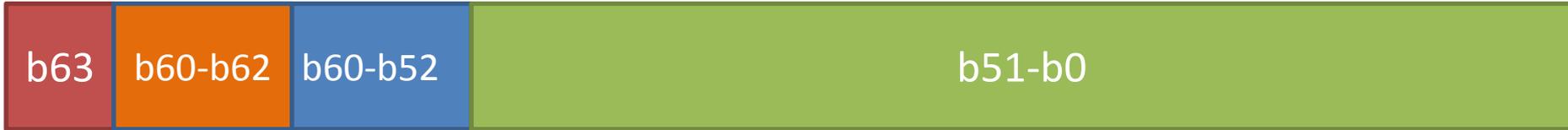
# Flonum: Encoding IEEE754 double floating number



$$-1^s 2^{e-1024} m \quad \left( m = 1 + \sum_{i=0}^{51} \frac{b_i}{2^{52-i}} \right)$$

# Flonum: Range

IEEE754 double



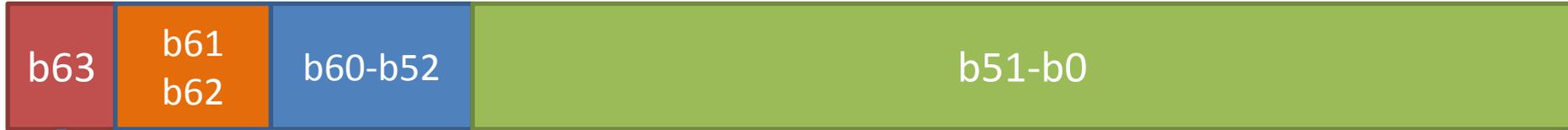
Check if e (b52 to b62) is with-in 768 to 1279, then it can be represent in Flonum.

This check can be done with b60-b62.

(+0.0 (0x00) is special case to detect)

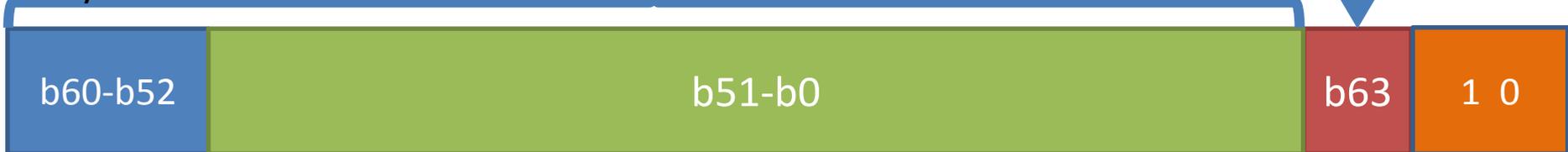
# Flonum: Encoding

IEEE754 double



Only "rotate" and "mask"

Ruby's Flonum



Flonum representation bits (2 bits)  
`#define FLONUM_P(v) ((v&3) == 2)`

☆ +0.0 is special case (0x02)

# Flonum:

## Object representation on VALUE

	Non Flonum	Flonum
Fixnum	...xxxx xxx1	...xxxx xxx1
Flonum	N/A	...xxxx xx10
Symbol	...xxxx 0000 1110	...xxxx 0000 1100
Qfalse	...0000 0000	...0000 0000
Qnil	...0000 0100	...0000 1000
Qtrue	...0000 0010	...0001 0100
Qundef	...0000 0110	...0011 0100
Pointer	...xxxx xx00	....xxxx x000

# New backtrace API “caller\_locations”

- Problem: caller() returns an array of strings
- **Introduce “caller\_locations”**
  - Returns an array of object which has methods such as “lineno”, “path”, etc
  - caller\_locations(0)[0].path #=> “foo.rb”
  - caller\_locations(0)[0].lineno #=> 23
- Boost creating backtrace speed by internal changes
  - Speedup exception creation

# New “set\_trace\_func”

- Problem: set\_trace\_func is not flexible and slow
- **TracePoint API**
  - TracePoint.trace(events) do block end
  - Specify “events” to invoke
  - Add new events (block\_enter, etc)
- **New C APIs**
  - Rewrite all trace\_func related code and enable lightweight probes for profilers
  - x2~ faster for “very lightweight” probes

# Controllable asynchronous interrupts

- Problem: Can not make safe program with Async-interrupts such as TimeoutError
- **Thread#control\_interrupt(hash) do block end**
  - hash contains exception classes as keys and symbols represents 3 states as values
    - immediate: interrupt immediately
    - on\_blocking: interrupt only when before blocking
    - never: never interrupt
  - Async interrupts specified by hash are masked in block

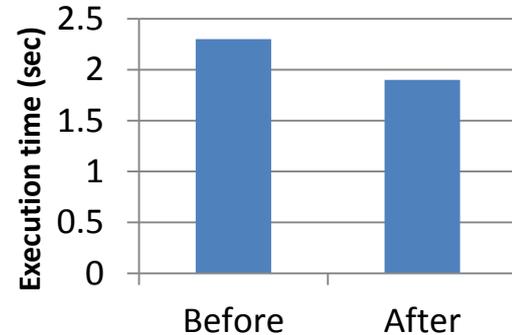
# Controllable asynchronous interrupts

```
# example: Guard from TimeoutError
require 'timeout'

Thread.control_interrupt(TimeoutError => :never) {
  timeout(10){
    # TimeoutError doesn't occur here
    Thread.control_interrupt(TimeoutError => :on_blocking) {
      # possible to be killed by TimeoutError
      # while blocking operation
    }
    # TimeoutError doesn't occur here
  }
}
```

# Deep changes

- Remove “finish” frame from control frames
- Unify “lfp” and “dfp” into “ep”
  - Reduce time of creating method frame (control frame) is important than reduce time of accessing local variables
- Call “allocation function” directly
  - Lightweight object allocation
  - `miniruby -I./lib -rbenchmark -e 'GC.disable;Benchmark.bm {|x|x.report{10_000_000.times{Object.new}}}' #=>`



# Remaining tasks for Ruby 2.0

# Remaining works

## Ruby 2.0 Internal Features

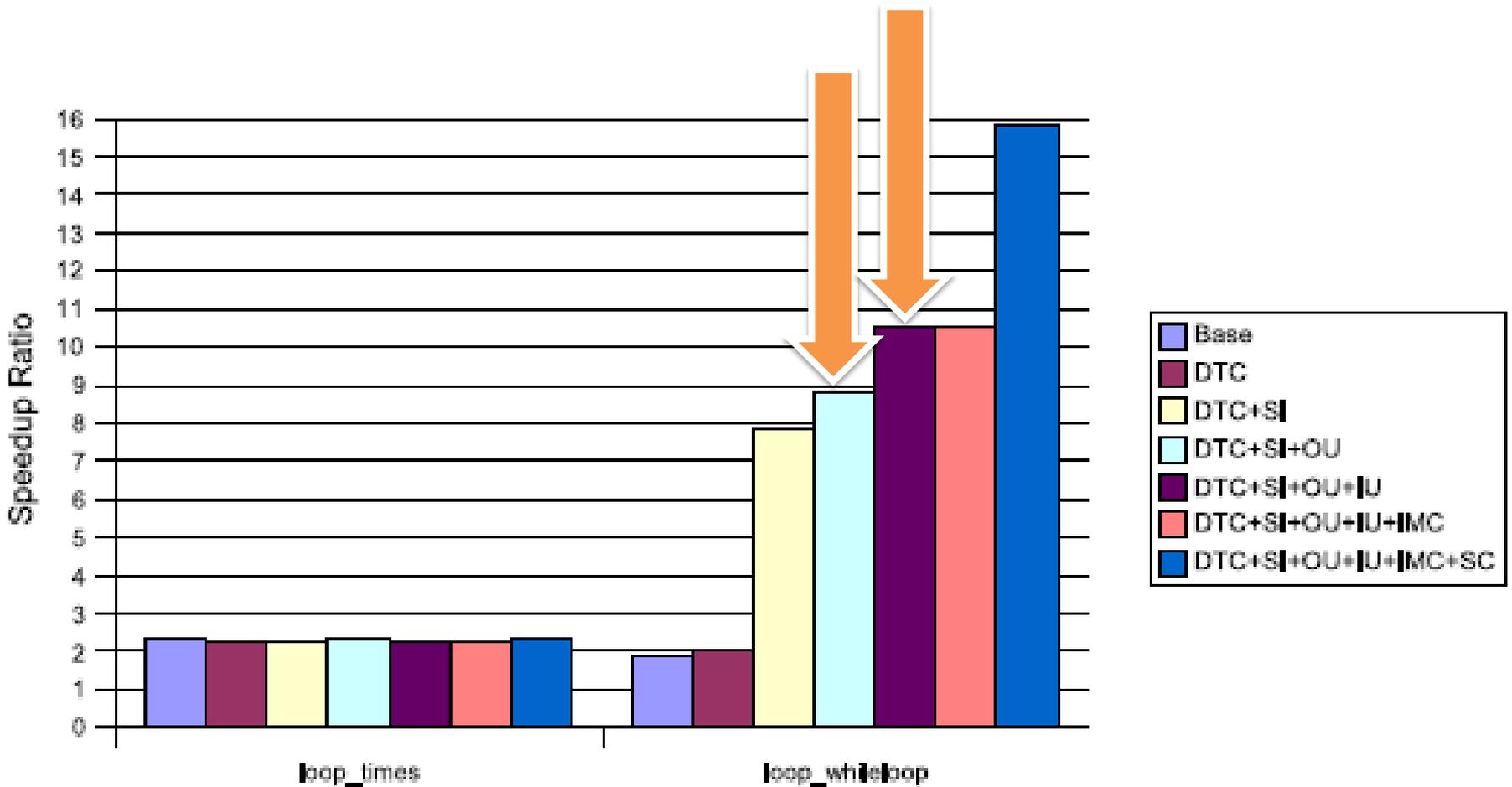
- Virtual machine changes
  - Enable “disabled-optimizing” options
  - Optimize “send” instruction
  - Change VM data structures
- More supports for Profiler/Debugger
- C APIs for “incomplete features”

# Virtual machine changes

## Enable “disabled-optimizing” options

- Operand/Instruction unification
  - Unify instruction and specific operand and make additional instructions
  - Macro instructions
- Tail-call optimization?
  - Eliminate method frame if the call is tail-call
  - To avoid stack overflow
  - I prefer auto expanding VM stack than it

# Unification result



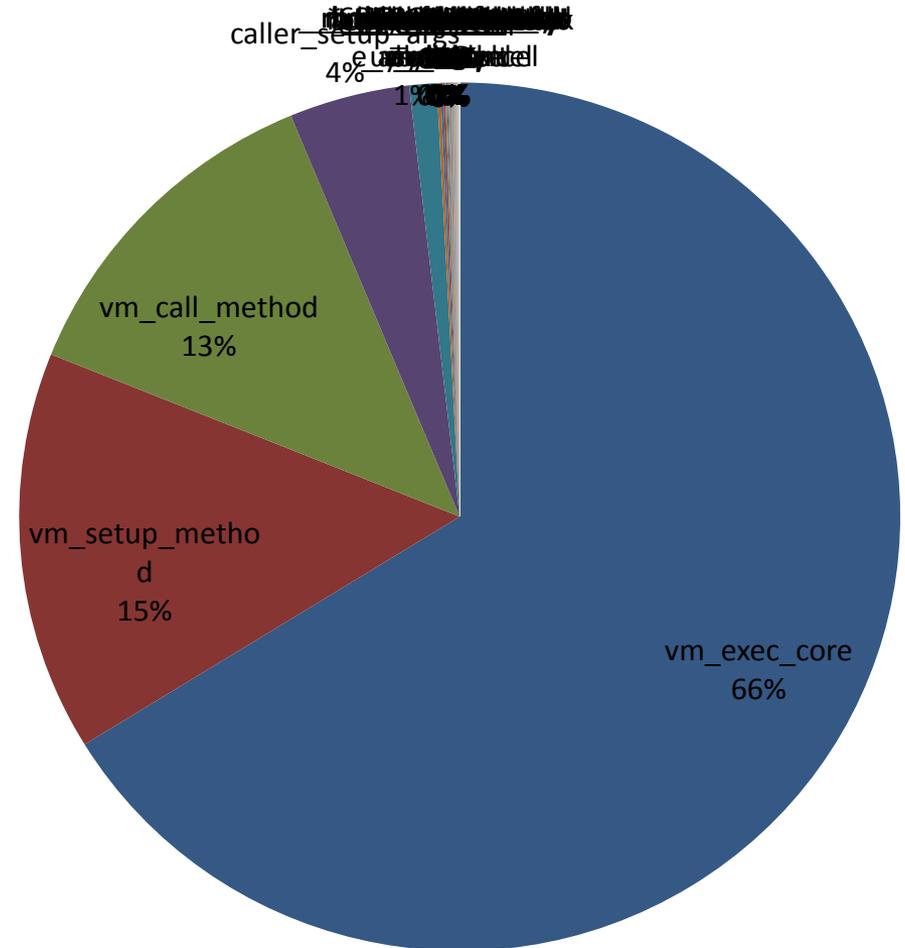
# Virtual machine changes

## Optimize “send” instruction

Ruby is OO language

→ Everything are methods

→ Optimizing Method call is important



# Virtual machine changes

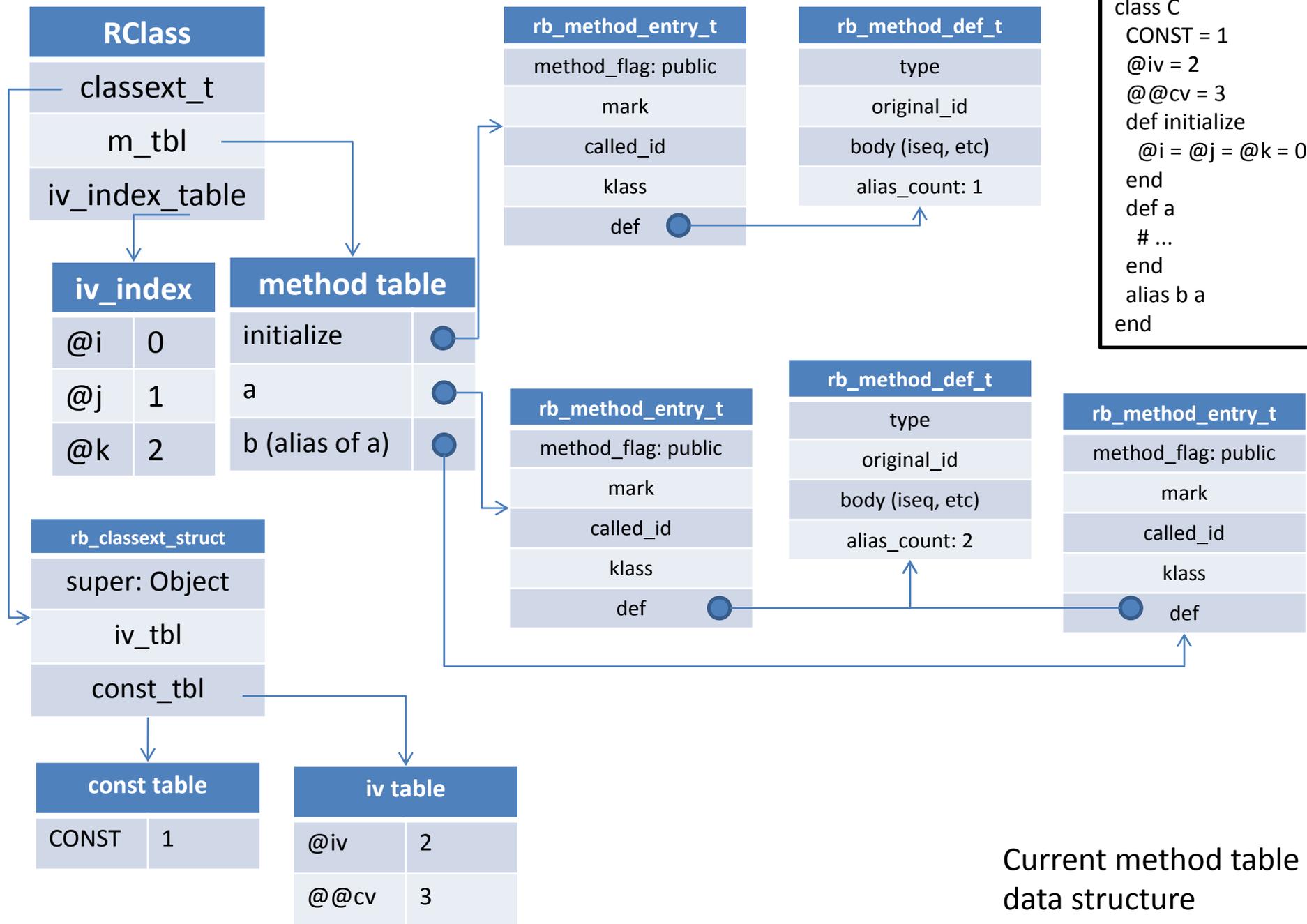
## Optimize “send” instruction

- Inline cache
  - More sophisticate cache invalidation protocol
  - Cache checking results such as visibilities, number of parameters and so on
  - Direct C function call path
- Compilation (future work)
  - JIT compilation **only for** “send” instruction is feasible / cost effective
  - Need to consider about maintenance

# Virtual machine changes

## Change VM data structures

- Reconstruct `rb_control_frame_t`
  - make it small to boost pushing new frames
- Introduce `rb_code_context_t` to represent code context (iseq + cref + method info + ...)
- Re-arrange boot sequence to make it easy for application embedded usage



# Current ISeq data structure

catch table entry
type
iseq
start, end: trap range
cont, sp: continue info

inline cache entry
key:
vmstat
class
value (union):
value
method
index

line info entry
position (この PC は)
line_info (何行目)

cref stack entry
visibility
class
next (link)

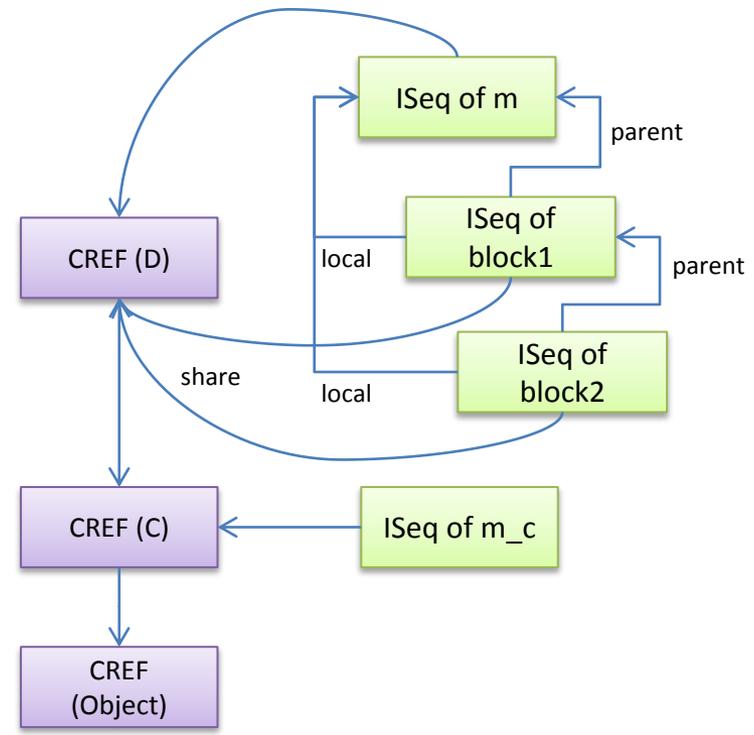
## ISeq

**static data:**

- name
- filename
- arg info
- bytecode body
- ← catch\_table entries
- ← line info entries
- local\_table (ID \*)
- stack\_max
- parent\_iseq (直近の親)
- local\_iseq (Ifp 指し先)
- mark ary

**dynamic data:**

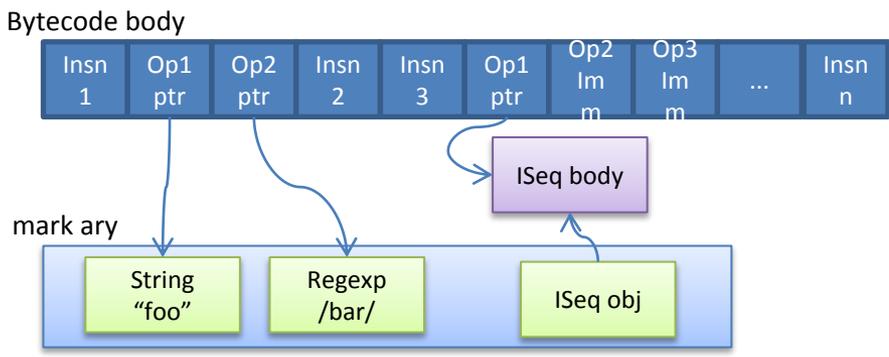
- self
- orig
- ← inline cache entries
- class (def したクラス)
- ← cref\_stack
- defined\_id (define\_method されたときのid)

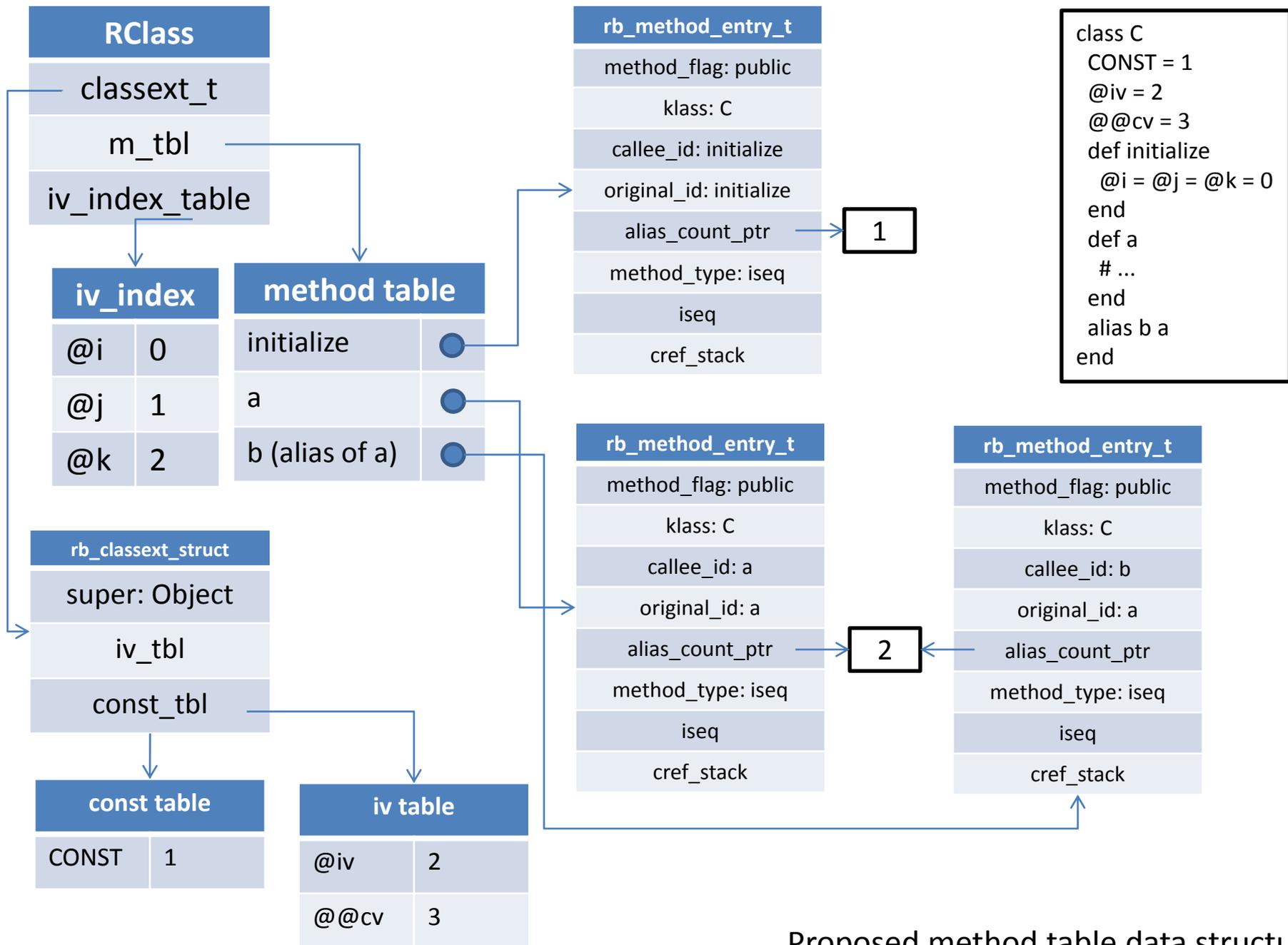


```

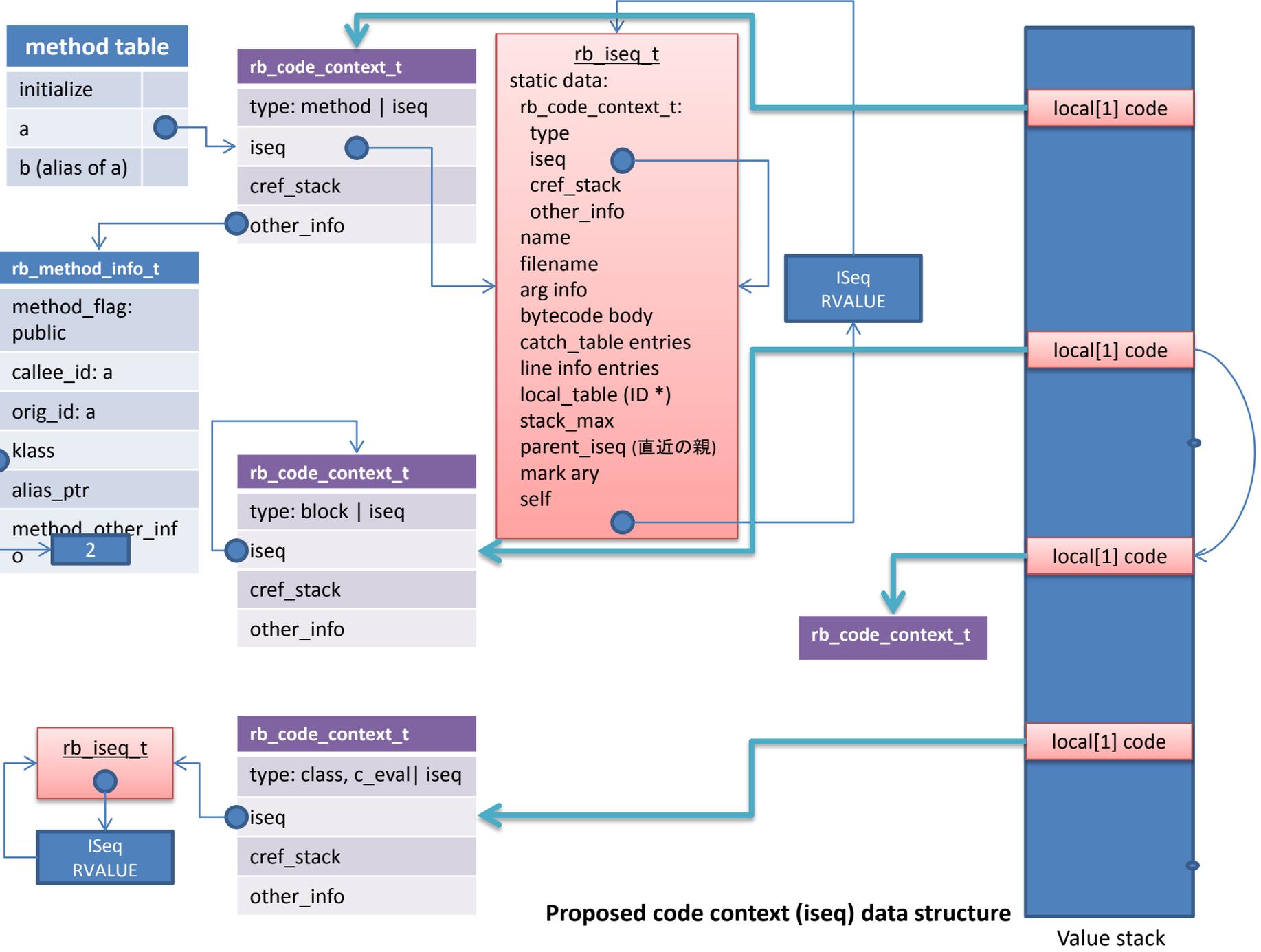
class C
class D
def m
  1.times{
    # block1
    1.times{
      # block2
    }
  }
end
end

def m_c
end
end
  
```



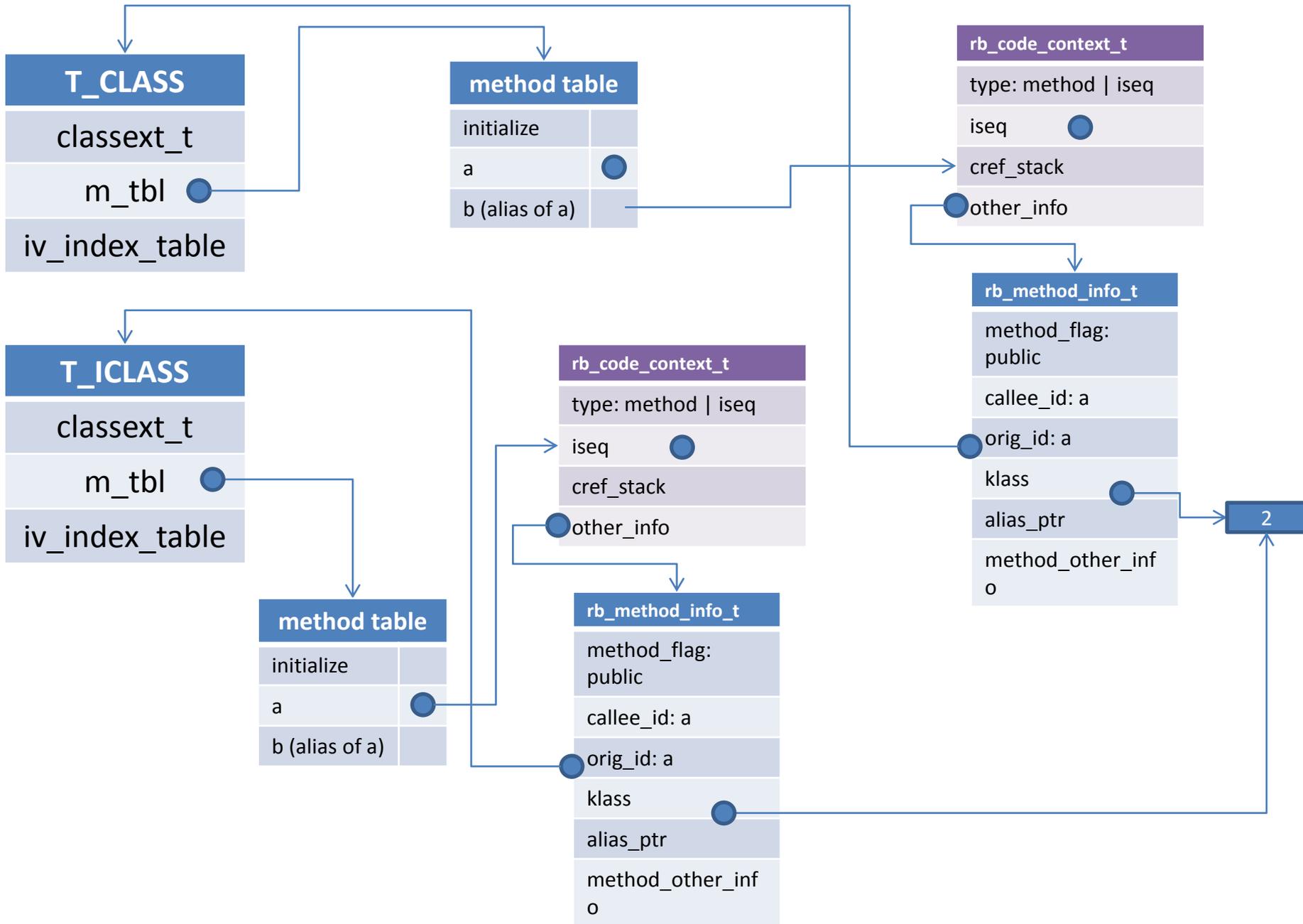


Proposed method table data structure

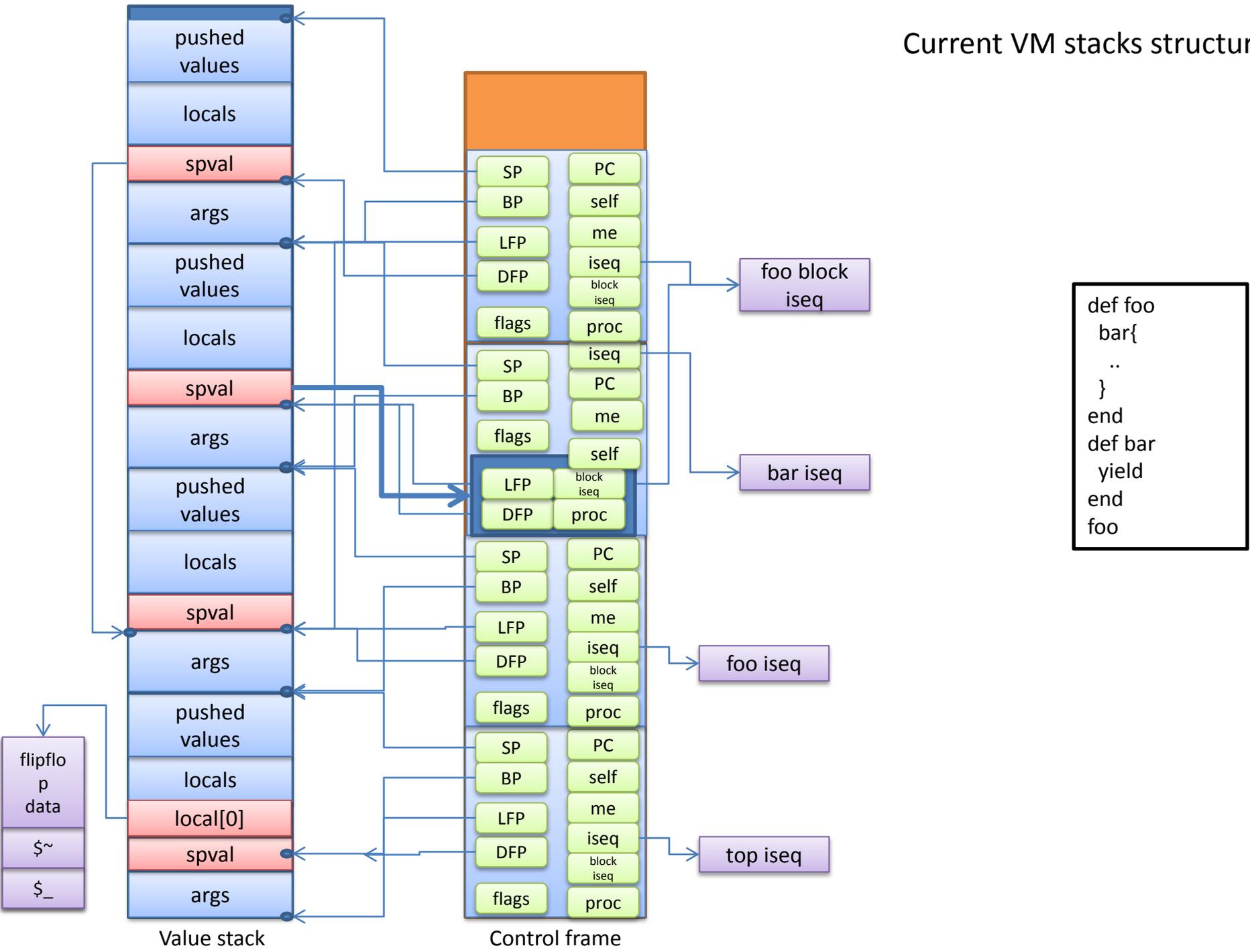


Proposed code context (iseq) data structure

Value stack

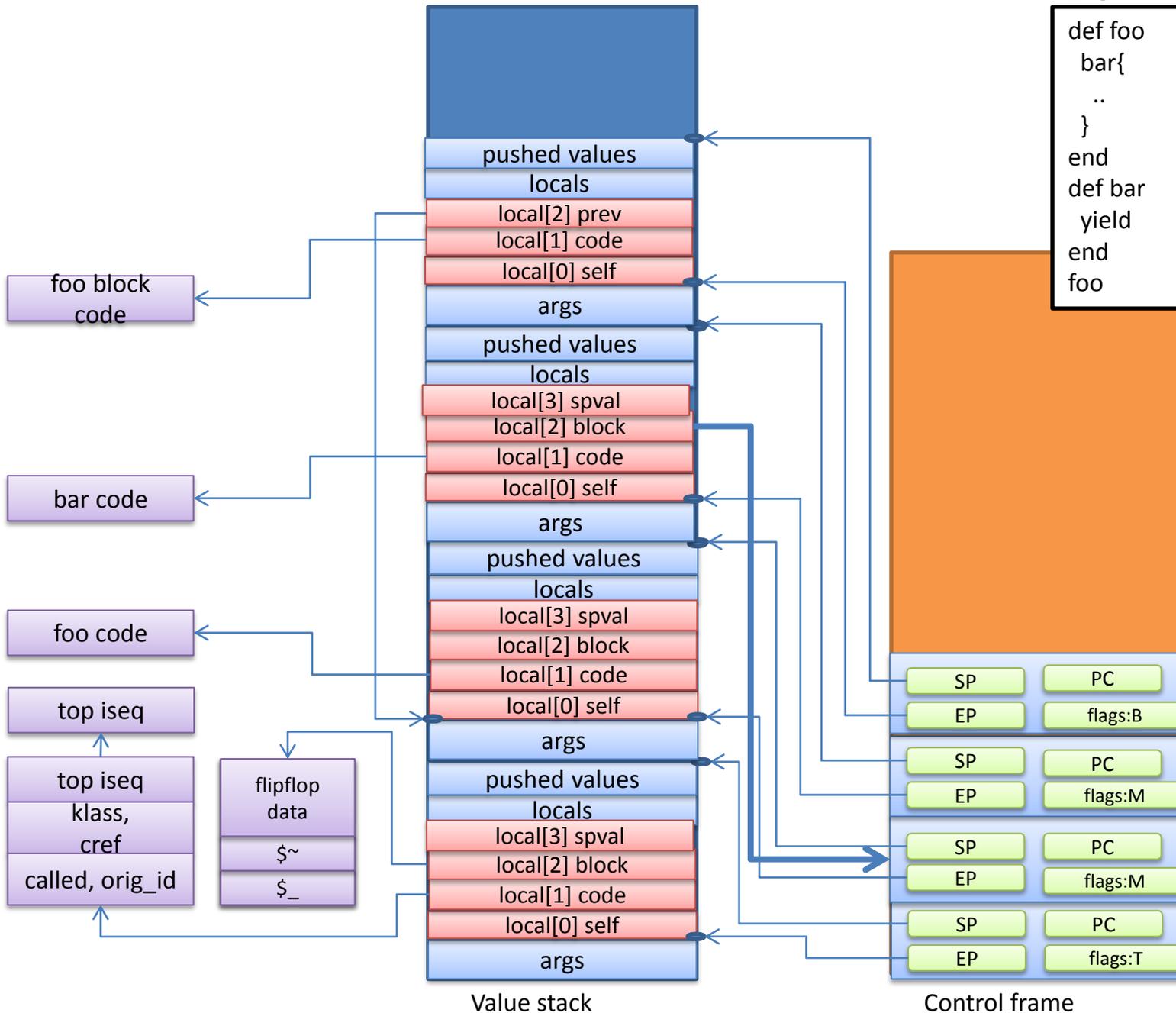


# Current VM stacks structure



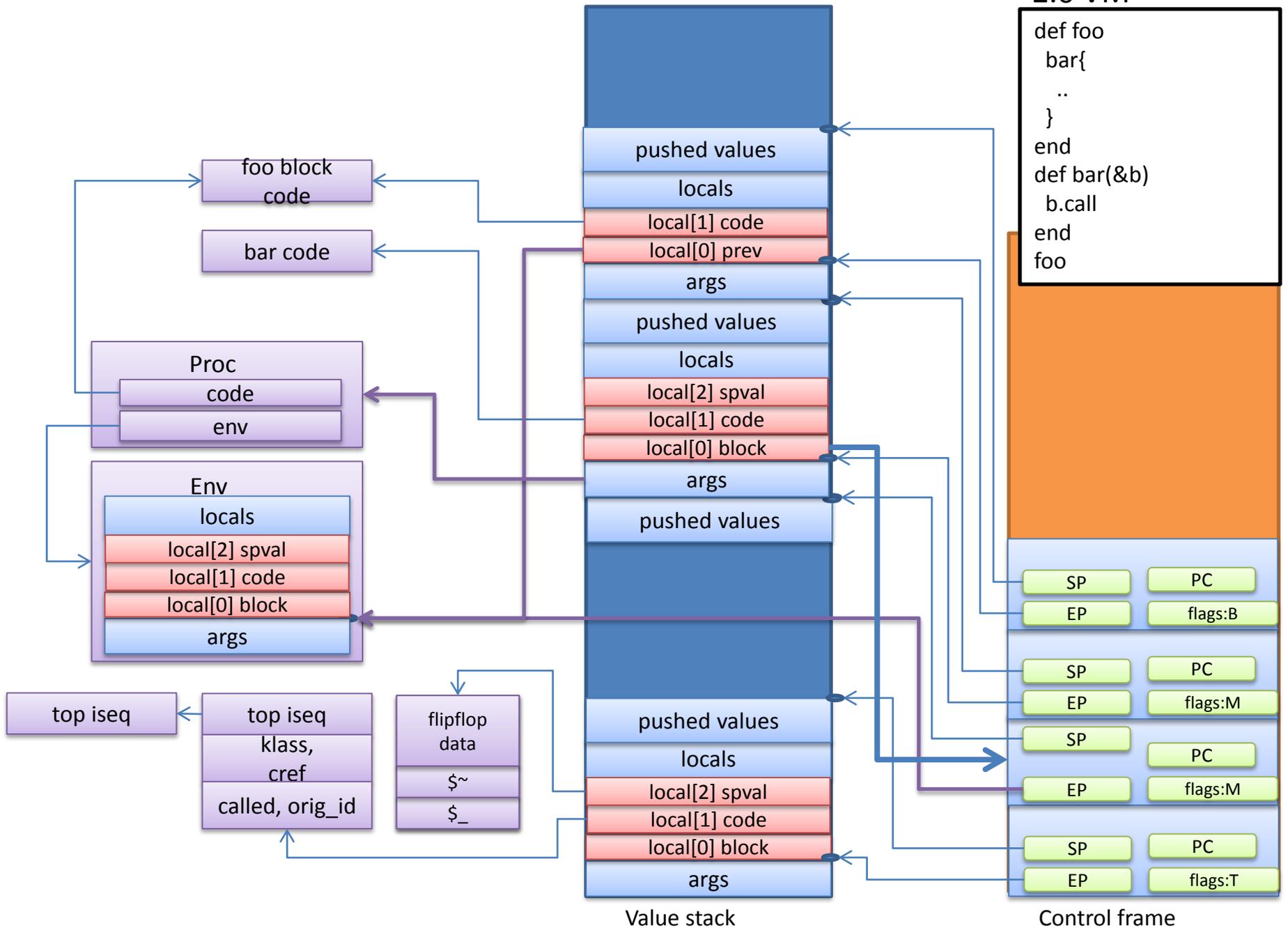
# 2.0 VM

```
def foo
  bar{
    ..
  }
end
def bar
  yield
end
foo
```



## 2.0 VM

```
def foo
  bar{
    ..
  }
end
def bar(&b)
  b.call
end
foo
```



# C APIs for “incomplete features”

- Discussion about several features are not completed
  - “require” framework
  - Instrumentation framework
  - ...
- Strategy: Introduce “C APIs” secretly and experiment new features in gem

# Future work

## Dreams: After Ruby 2.0

- Compilation
- Parallel execution
- Pluggable features

# Student's research: CastOff

A performance improvement tool for ruby1.9.3

(1) Use from  
ruby script



Programmer

```
require 'cast_off'  
CastOff.compile(  
  Klass,  
  :Method,  
  binding,  
  TypeInfo)  
...
```

- Compile Klass#Method
- Load compiled binary
- Run faster

(2) Use from  
command line

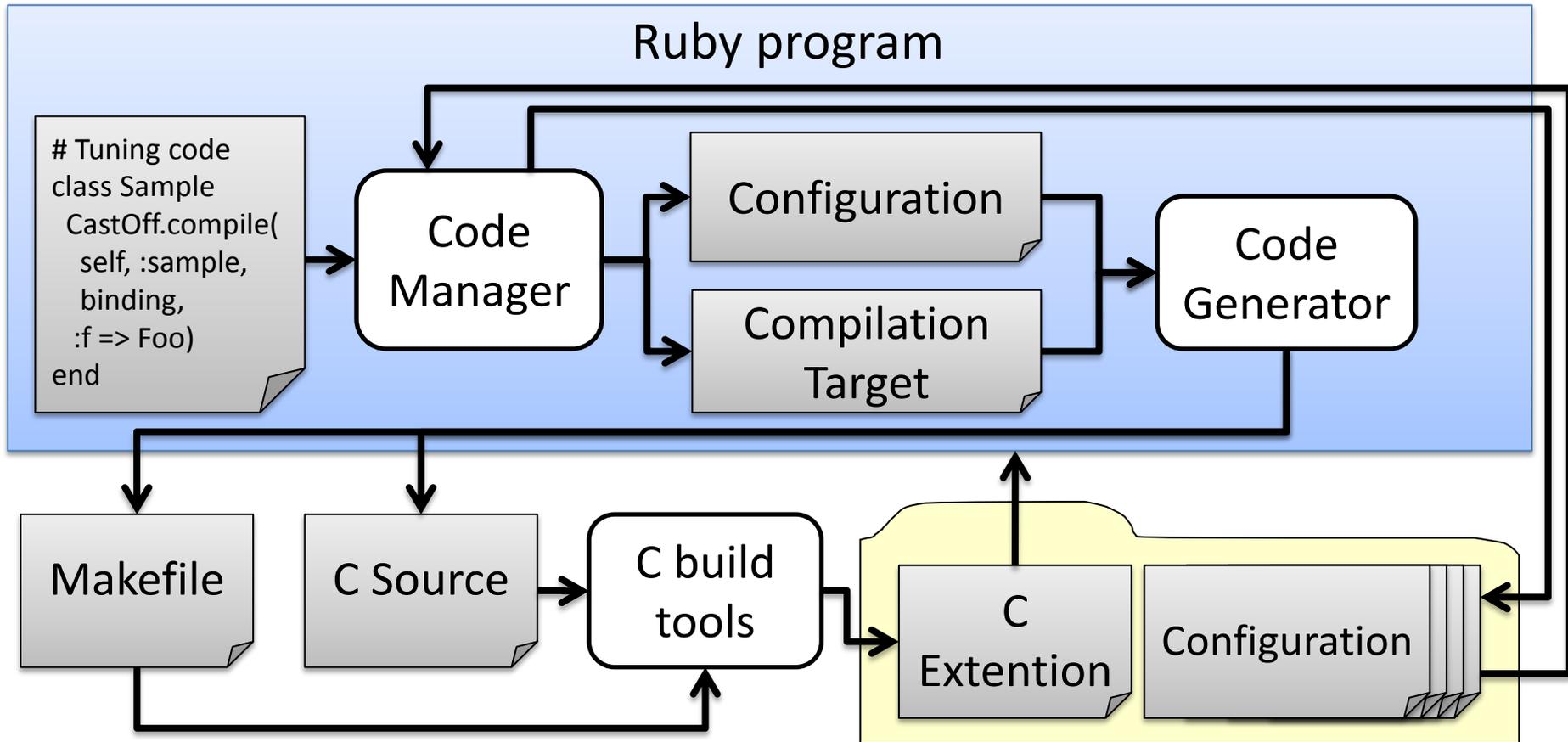


Programmer

```
$ CastOff "program"
```

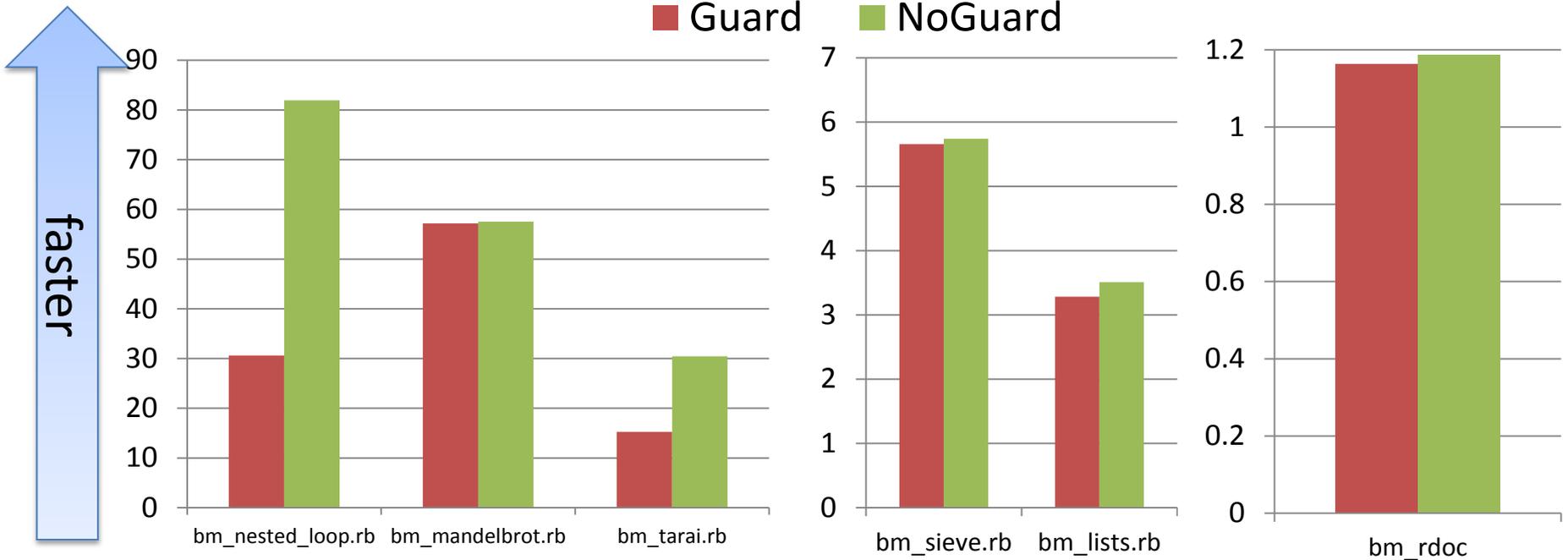
- Run and Profile  
"program"
- Compile methods  
in "program"
- Run faster

# Compilation flow



# Performance improvements

Execution time ratio (CRuby 1.9.3 / CastOff)



# Parallel Execution

- Run threads in parallel (JRuby, MacRuby, ...)
  - Good: Well known approach
  - **Bad: Difficult to make safe/correct multi-threaded programs**
    - **Many tragedy (in Java, etc.)**
  - Bad: Difficult to make efficient implementation with fine-grain lock
- Parallel processes (dRuby, ...)
  - Good: No need to implement
  - Bad: Marshal overheads

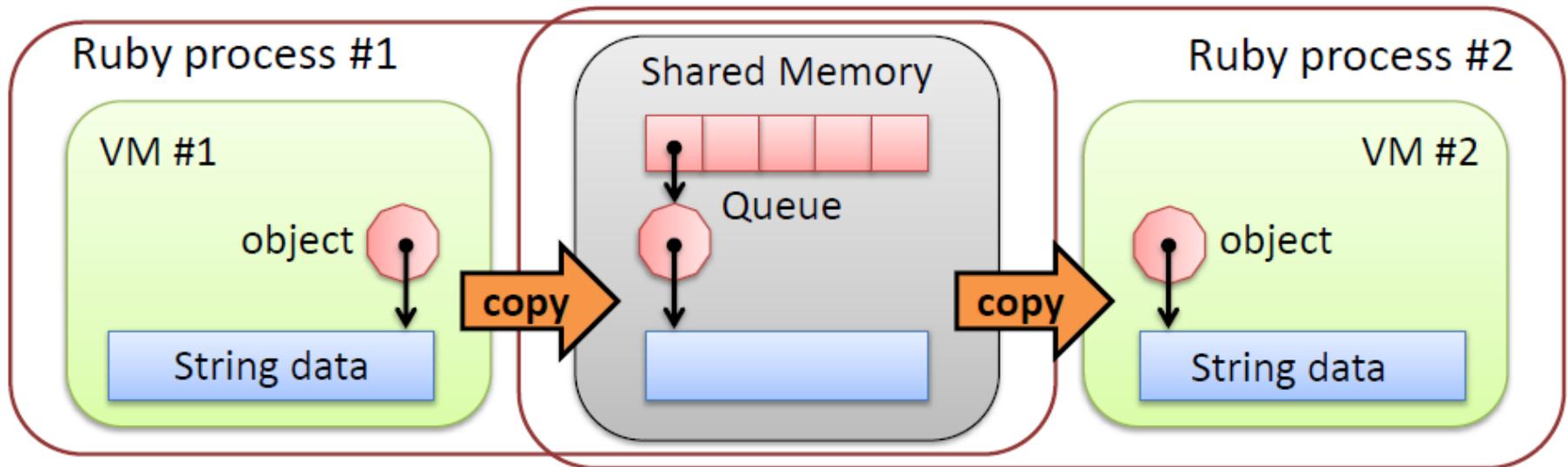
# Support friendly Coarse-grained parallel computing

- Encourage Multi-process
  - Traditional well-known approach
  - Toward advanced dRuby
- Multi-VM
  - VMs in one process
  - Light-weight communication

# Student's research

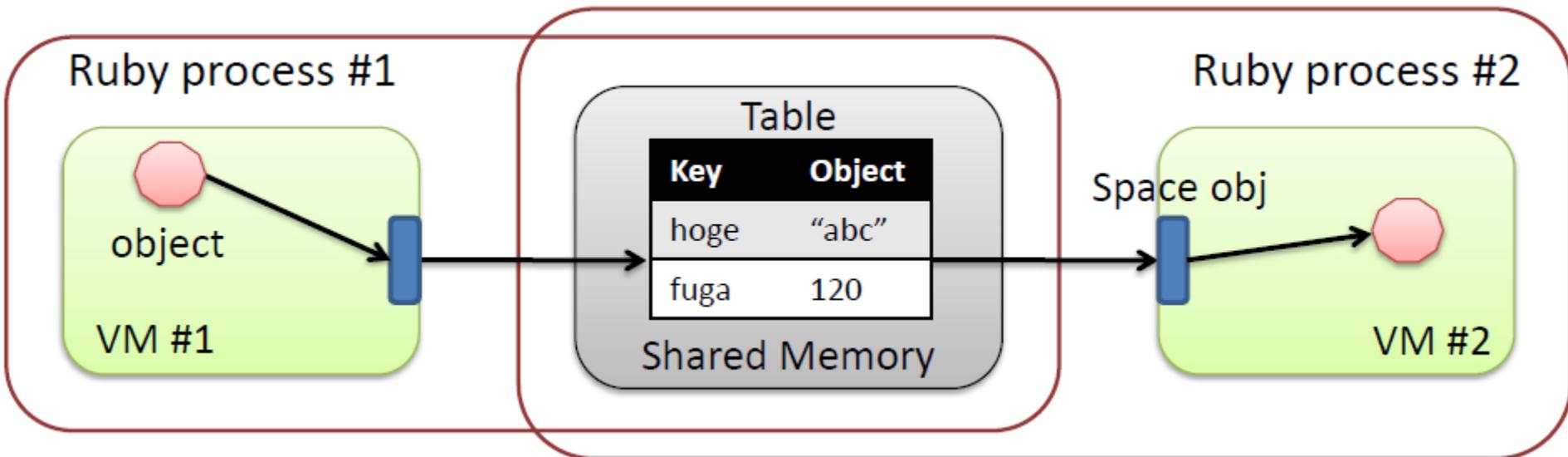
Tunnel: Inter-process communication w/shared memory

- Object transfer w/ shared memory

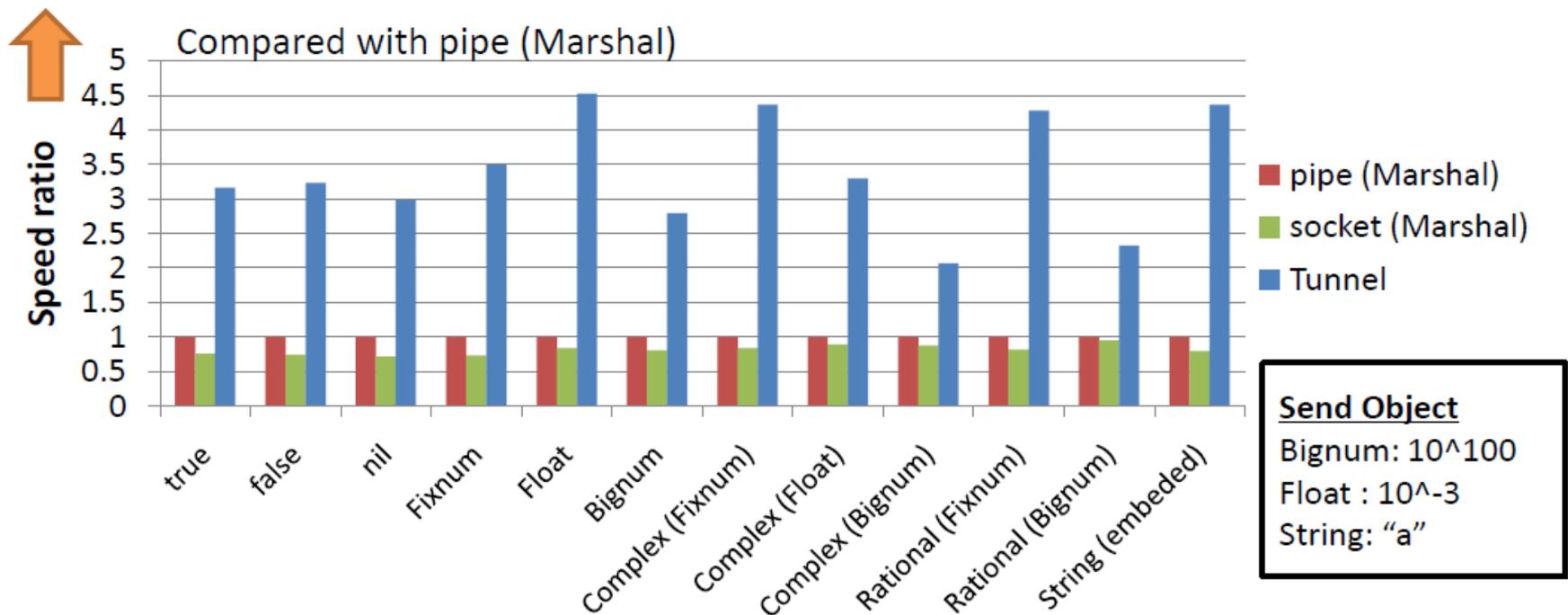


Student reserch (cont.)  
Space: Inter-process  
Space w/shared memory

- Shared space between ruby processes
  - Similar to Linda/Rinda



# Evaluation of Tunnel



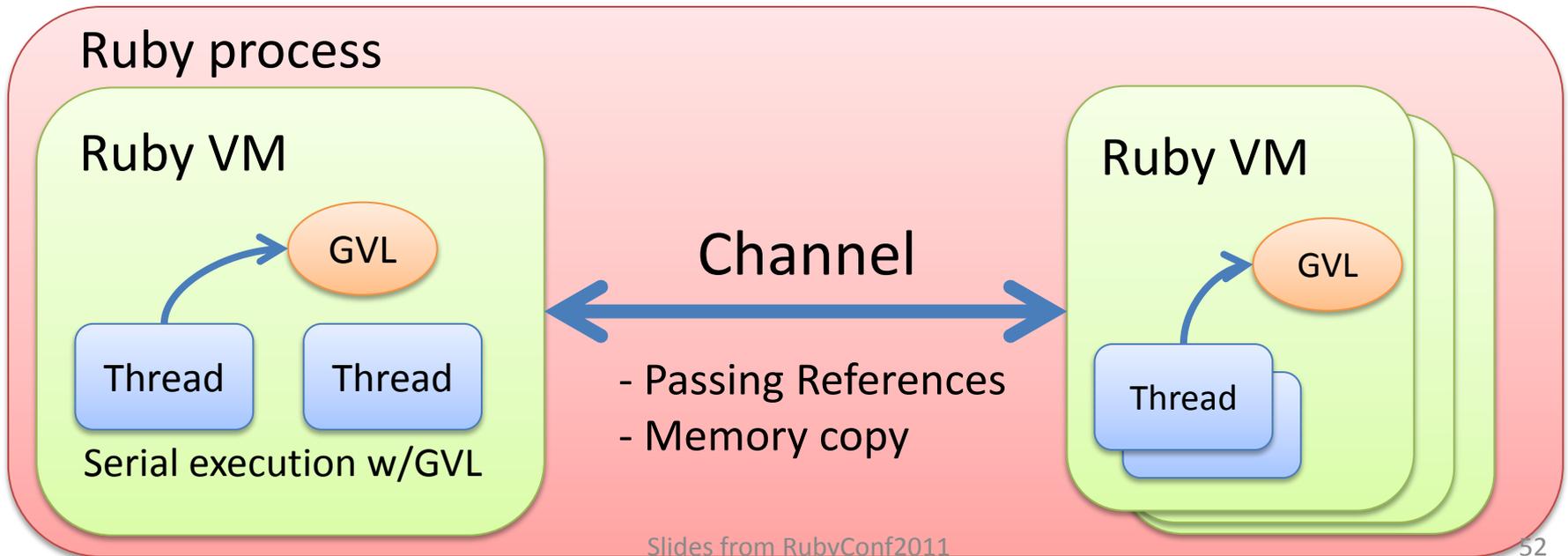
# Parallel Execution

## MVM

# Parallel Execution

## Multiple-VM (MVM) on Ruby

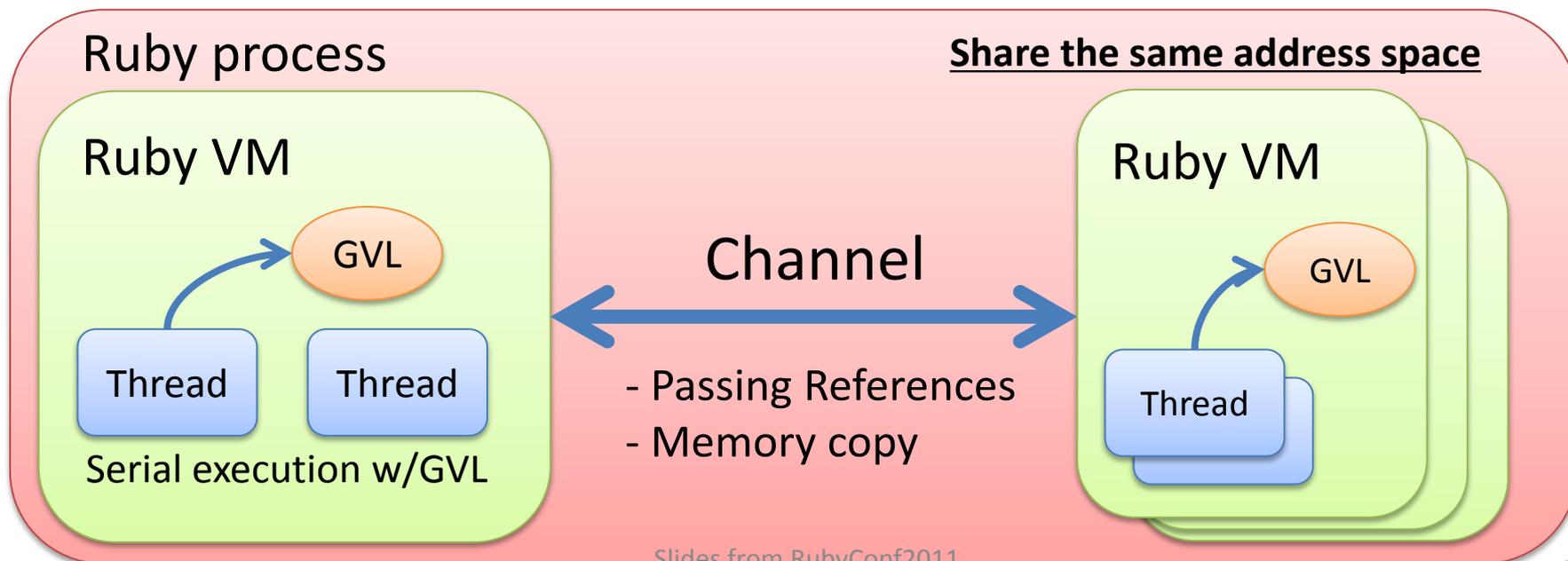
- Multiple VMs in one process
  - VMs are completely isolated (Each VM has an independent object space (heap))
  - VMs run in **parallel**
    - Each VM has own GVL (w/o fine grained lock)



# Our Approach

## Multiple-VM (MVM) on Ruby

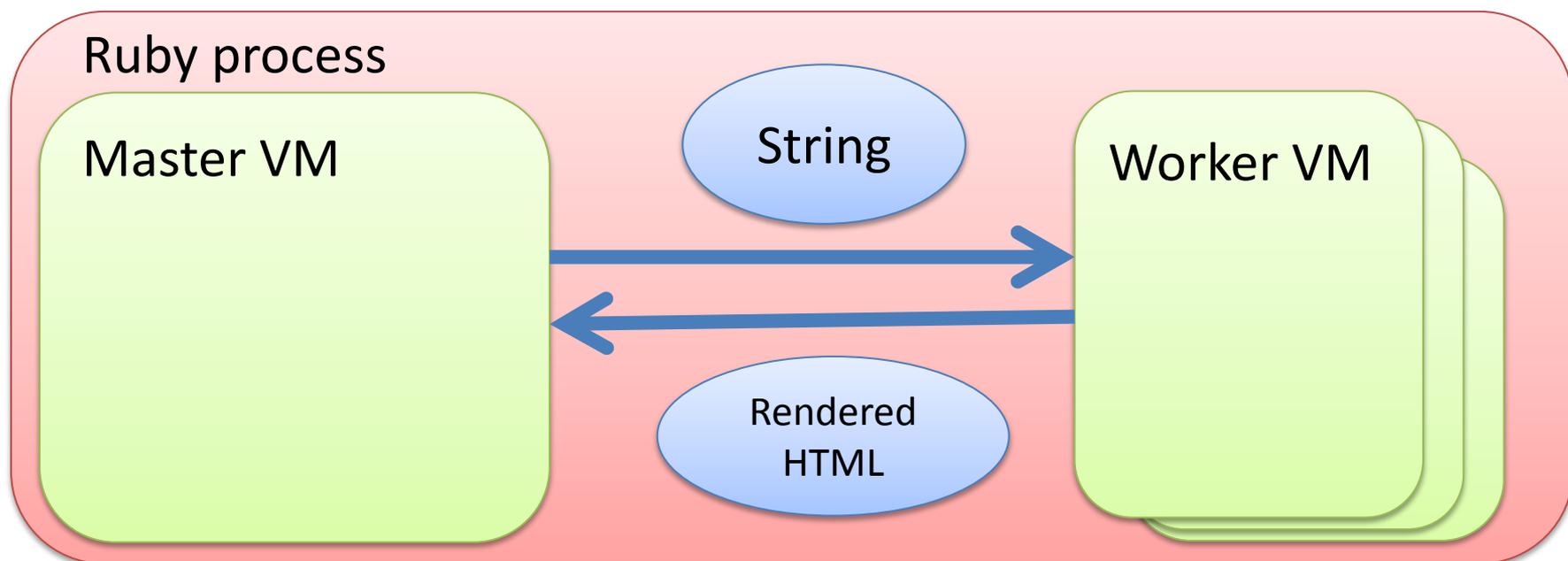
- Channel: Inter-VM Communication mechanism
  - The only way to communicate with other VMs
  - Simply passing references or copying memory in the same address space



# Evaluation

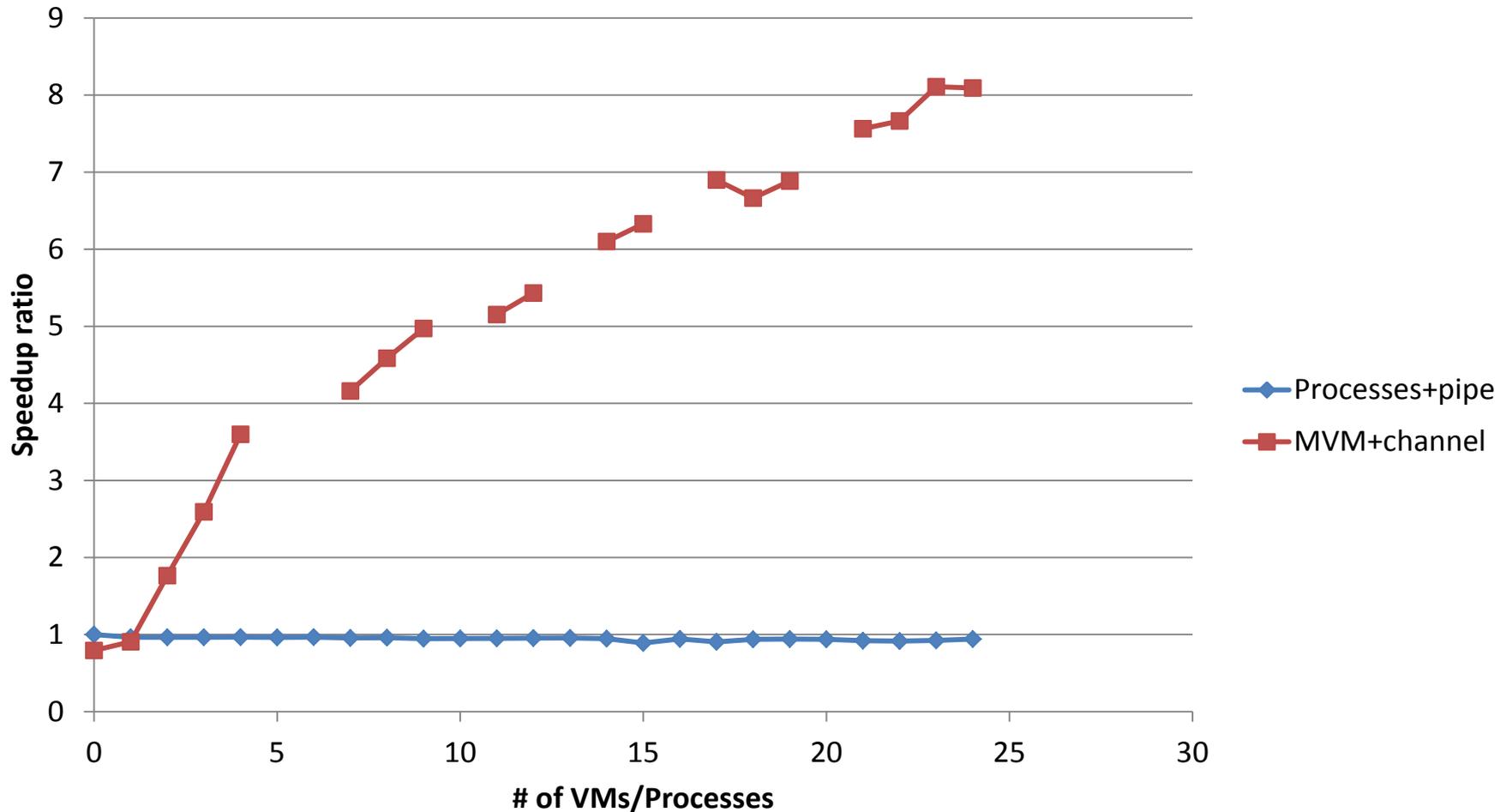
## HTML rendering app

- Master dispatch string to worker and worker returns rendered HTML.



# Evaluation

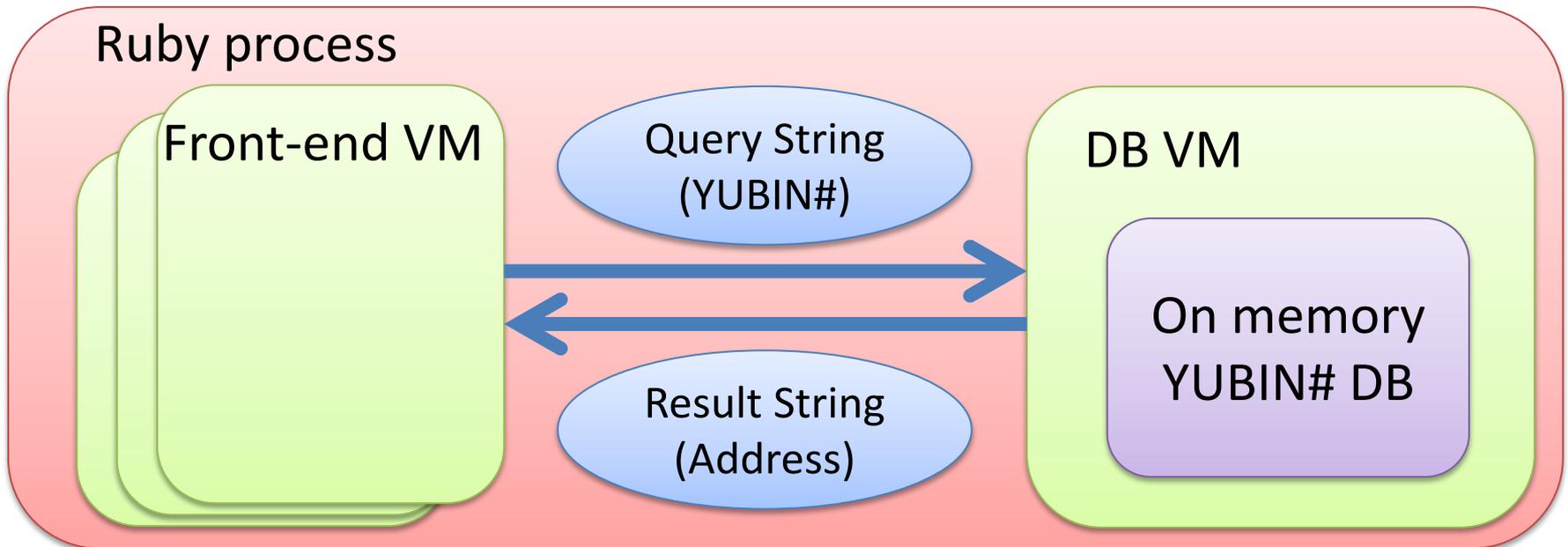
## HTML rendering app



# Evaluation

## DB app

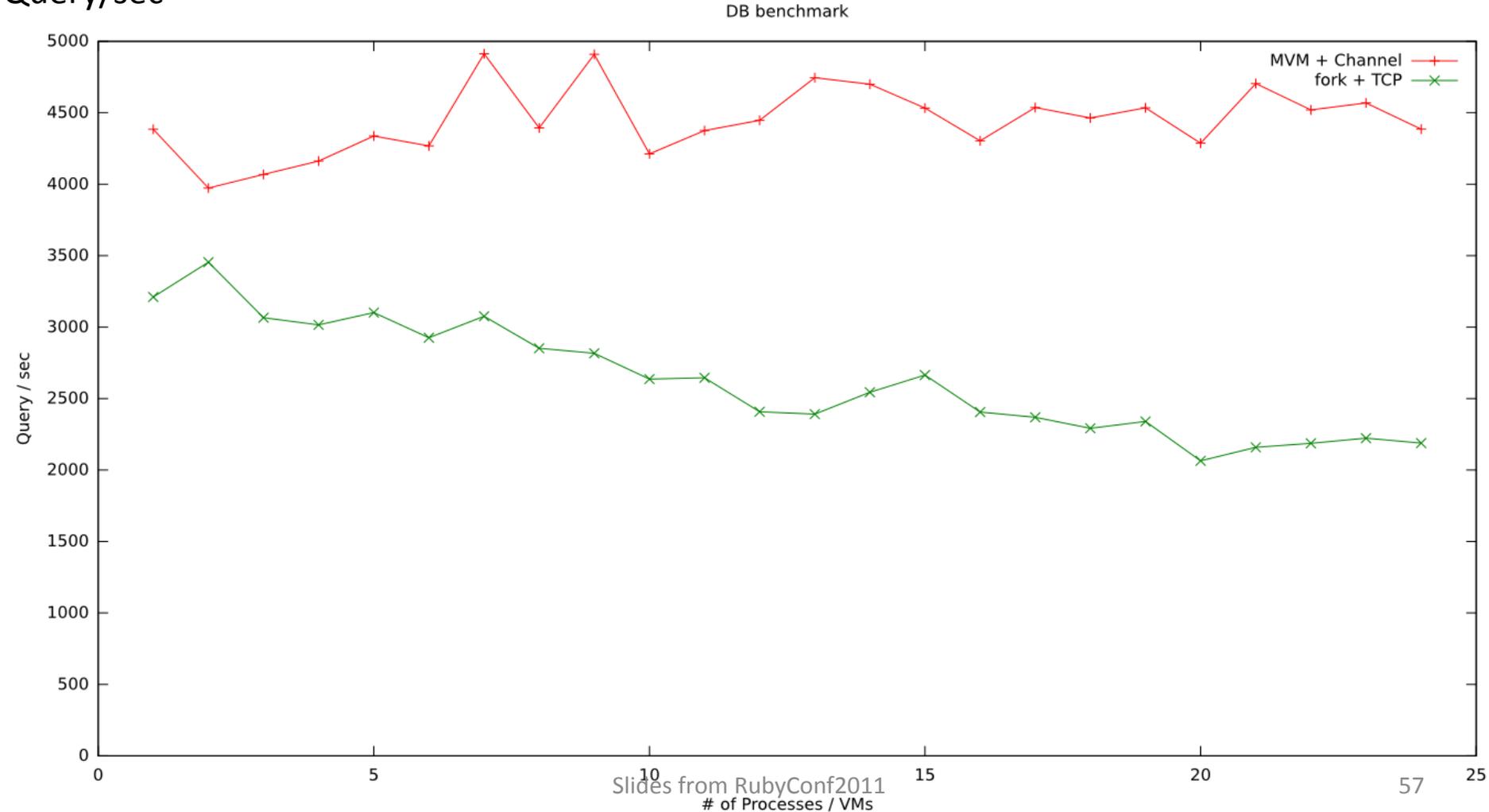
- Benchmark assuming web application
- Several front-end VMs and one DB VM
- YUBIN-Number (zip-code) DB on memory
- Using **dRuby** (w/MVM) framework



# Evaluation

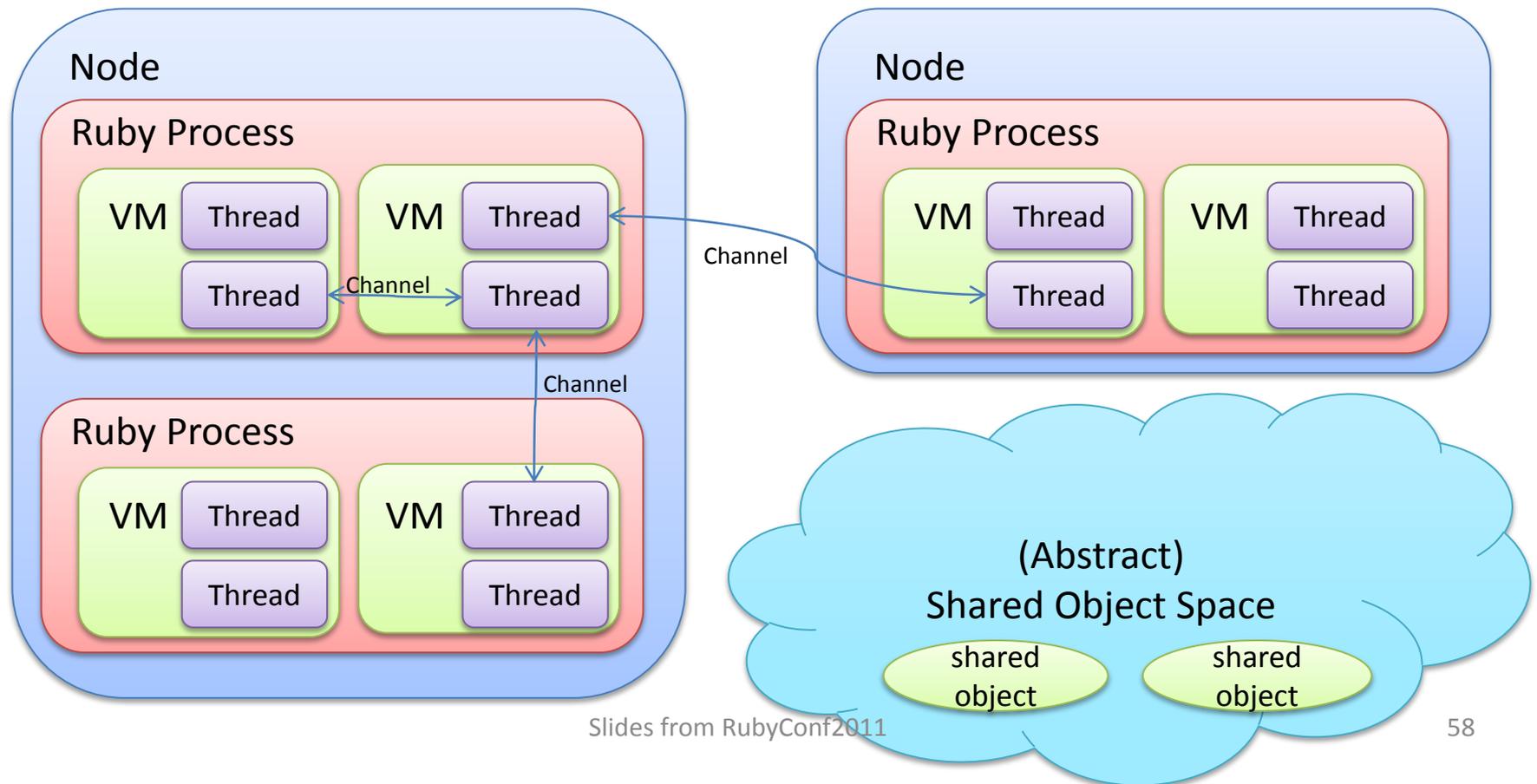
## DB app

Query/sec



# Future work on MVM/Parallel

- Extend this communication channel between inter-process (w/ shared memory), inter-node
- Migratable Ruby activity (threads, blocks (closures) and so on)



# Summary

- Finished work - Ruby 2.0 Internal Changes
- Remaining work - Ruby 2.0 Internal Features
- Future work – Dreams: After Ruby 2.0

We will release Ruby 2.0 next year!

Don't miss it!

# Thank you!

Koichi Sasada  
Heroku, Inc.  
ko1@heroku.com

